

Table(I)- Summary Statement holding of specified securities

Name of the Company : **SWORD AND SHIELD PHARMA LIMITED**

Scrip Code, Name of the scrip, class of security:

/EQUITY

Quarter Ended **30-Sep-2016**

Category Code	Category and name of Shareholders	Number of shareholders (III)	No. of fully paid up equity Shares (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total no. of Shares (VII)=IV+V+VI	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	No of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		No. of Shares held in Dematerialised form (XIV)	
								No of voting Rights					Total as a % of (A+B+C)	No (a)	As a % of Total shr held	No (a)		As a % of Total shr held
								Class eg: X	Class eg: Y	Total								
(A)	Promoter & Promoter Group	4	340000			340000	6.6012	340000	340000	6.6012		0	.0000	0	0	217400		
(B)	Public	1344	4810600			4810600	93.3988	4810600	4810600	93.3988		0	.0000		NA	2793400		
(C)	Non Promoter- Non Public														NA			
(C1)	Shares underlying DRs	0	0			0	NA								NA	0		
(C2)	Shares held by Employee Trusts																	
	Total	1348	5150600			5150600	100.0000	5150600	5150600	100.0000		0	.0000	0	0	3010800		

Table(II) &amp; (III)- Summary Statement holding of specified securities

Name Of The Company : SWORD AND SHIELD PHARMA LIMITED

			Quarter Ended 30-Sep-2016																
Category Code	Category and name of Shareholders	PAN	Number of shareholders	No. of fully paid up equity Shares	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total no. of Shares	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (X)			No. of Shares Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	No of Locked in shares		Number of Shares pledged or otherwise encumbered		No. of Shares held in Dematerialised form	
									No of voting Rights					Total as a % of (A+B+C)	(XIII)		(XIV)		
									Class eg: X	Class eg:	Total				No (a)	As a % of Total shr held	No (a)		As a % of Total shr held
<b>A</b>	<b>Shareholding of Promoter and Promoter Group</b>																		
<b>1</b>	<b>Indian</b>																		
a	Individuals/ Hindu Undivided Family		0	0			0	.0000	0		0	.0000			0	.0000	0	.0000	0
b	Central Government/ State Government(s)		0	0			0	.0000	0		0	.0000			0	.0000	0	.0000	0
c	Bodies Corporate		0	0			0	.0000	0		0	.0000			0	.0000	0	.0000	0
d	Financial Institutions/ Banks		0	0			0	.0000	0		0	.0000			0	.0000	0	.0000	0

Table(II) &amp; (III)- Summary Statement holding of specified securities

Name Of The Company : SWORD AND SHIELD PHARMA LIMITED

			Quarter Ended 30-Sep-2016																
Category Code	Category and name of Shareholders	PAN	Number of shareholders	No. of fully paid up equity Shares	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total no. of Shares	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (X)			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	No of Locked in shares		Number of Shares pledged or otherwise encumbered		No. of Shares held in Dematerialised form	
									No of voting Rights					Total as a % of (A+B+C)	(XIII)		(XIV)		
									Class eg: X	Class eg:	Total				No (a)	As a % of Total shr held	No (a)		As a % of Total shr held
<b>A</b>	<b>Shareholding of Promoter and Promoter Group</b>																		
<b>1</b>	<b>Indian</b>																		
e	Any Other (Specify)																		
i	Director and Relatives		4	340000			340000	6.6012	340000		340000	6.6012			0	.0000	0	.0000	217400
															0				
i	Director and Relatives	JYOTSNABEN PRAVINBHAI PATEL		101400			101400	1.9687	101400		101400	1.9687			101400	100.0000			
															0				
i	Director and Relatives	PRAVINBHAI MANEKLAL PATEL		116000			116000	2.2522	116000		116000	2.2522			116000	100.0000			
															0				
i	Director and Relatives	JYOTSNA PATEL		9200			9200	.1786	9200		9200	.1786			0	.0000			
		PRAVIN PATEL		113400			113400	2.2017	113400		113400	2.2017			0				
															0				
	<b>Sub Total (A)(1) :</b>		4	340000			340000	6.6012	340000		340000	6.6012			0	.0000	0	.0000	217400

Table(II) &amp; (III)- Summary Statement holding of specified securities

Name Of The Company : SWORD AND SHIELD PHARMA LIMITED

			Quarter Ended 30-Sep-2016																
Category Code	Category and name of Shareholders	PAN	Number of shareholders	No. of fully paid up equity Shares	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total no. of Shares	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (X)			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	No of Locked in shares		Number of Shares pledged or otherwise encumbered		No. of Shares held in Dematerialised form	
									No of voting Rights					Total as a % of (A+B+C)	(XIII)		(XIV)		
									Class eg: X	Class eg: Y	Total				No (a)	As a % of Total shr held	No (a)		As a % of Total shr held
<b>A</b>	<b>Shareholding of Promoter and Promoter Group</b>																		
<b>2</b>	<b>Foreign</b>																		
a	Individuals (Non Resident Indians / Foreign Individuals)		0	0			0	.0000	0		0	.0000		0	.0000	0	.0000	0	.0000
b	Bodies Corporate		0	0			0	.0000	0		0	.0000		0	.0000	0	.0000	0	.0000
c	Institutions		0	0			0	.0000	0		0	.0000		0	.0000	0	.0000	0	.0000
d	Qualified Foreign Investor		0	0			0	.0000	0		0	.0000		0	.0000	0	.0000	0	.0000
e	Any Other ( Specify )		0	0			0	.0000	0		0	.0000		0	.0000	0	.0000	0	.0000
	<b>Sub Total (A)(2) :</b>		0	0			0	.0000	0		0	.0000		0	.0000	0	.0000	0	.0000
	<b>Total Shareholding of Promoter and Promoter Group (A) = (A)(1) + (A)(2) :</b>		4	340000			340000	6.6012	340000		340000	6.6012		0	.00	0	.0000	0	217400

Table(II) &amp; (III)- Summary Statement holding of specified securities

Name Of The Company : SWORD AND SHIELD PHARMA LIMITED

			Quarter Ended 30-Sep-2016																
Category Code	Category and name of Shareholders	PAN	Number of shareholders	No. of fully paid up equity Shares	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total no. of Shares	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (X)			No. of Shares Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	No of Locked in shares		Number of Shares pledged or otherwise encumbered		No. of Shares held in Dematerialised form	
									No of voting Rights					Total as a % of (A+B+C)	No (a)		No (a)		
									Class eg: X	Class eg: Y	Total				As a % of Total shr held	As a % of Total shr held	As a % of Total shr held		
<b>B</b>	<b>Public Shareholding</b>																		
<b>1</b>	<b>Institutions</b>																		
a	Mutual Funds/UTI		0	0			0	.0000	0		0	.0000		0	.0000		.0000	0	
b	Venture Capital Funds		0	0			0	.0000	0		0	.0000		0	.0000		.0000	0	
c	Alternate Investment Funds		0	0			0	.0000	0		0	.0000		0	.0000		.0000	0	
d	Foreign Venture Capital Investors		0	0			0	.0000	0		0	.0000		0	.0000		.0000	0	
e	Foreign Portfolio Investors		0	0			0	.0000	0		0	.0000		0	.0000		.0000	0	
f	Financial Institutions/ Banks		1	7400			7400	.1437	7400		7400	.1437		0	.0000		.0000	7400	
g	Insurance Companies		0	0			0	.0000	0		0	.0000		0	.0000		.0000	0	

Table(II) &amp; (III)- Summary Statement holding of specified securities

Name Of The Company : SWORD AND SHIELD PHARMA LIMITED

			Quarter Ended 30-Sep-2016																
Category Code	Category and name of Shareholders	PAN	Number of shareholders	No. of fully paid up equity Shares	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total no. of Shares	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (X)			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	No of Locked in shares		Number of Shares pledged or otherwise encumbered		No. of Shares held in Dematerialised form	
									No of voting Rights					Total as a % of (A+B+C)	No of Locked in shares		No of Shares pledged or otherwise encumbered		
									Class eg: X	Class eg: Y	Total				No (a)	As a % of Total shr held	No (a)		As a % of Total shr held
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)=IV+V+VI	(VIII)	(IX)				(XI)	(XII)	(XIII)	(XIV)	(XV)			
<b>B</b>	<b>Public Shareholding</b>																		
<b>1</b>	<b>Institutions</b>																		
h	Provident Funds/ Pension Funds		0	0			0	.0000	0		0	.0000		0	.0000		.0000	0	
i	Any Other (specify)		0	0			0	.0000	0		0	.0000		0	.0000		.0000	0	
<b>2</b>	<b>Sub Total (B)(1) : Central Govt/State Govt(s)/ POI</b>		1	7400			7400	.1437	7400		7400	.1437						7400	
a	GOVT.		0	0			0	.0000	0		0	.0000		0	.0000		.0000	0	
	<b>Sub Total (B)(2) :</b>		0	0			0	.0000	0		0	.0000						0	

Table(II) &amp; (III)- Summary Statement holding of specified securities

Name Of The Company : SWORD AND SHIELD PHARMA LIMITED

			Quarter Ended 30-Sep-2016																
Category Code	Category and name of Shareholders	PAN	Number of shareholders	No. of fully paid up equity Shares	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total no. of Shares	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (X)			No. of Shares Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	No of Locked in shares		Number of Shares pledged or otherwise encumbered		No. of Shares held in Dematerialised form	
									No of voting Rights					Total as a % of (A+B+C)	(XIII)		(XIV)		
									Class eg: X	Class eg: Y	Total				No (a)	As a % of Total shr held	No (a)		As a % of Total shr held
<b>B</b>	<b>Public Shareholding</b>																		
<b>3</b>	<b>Non-Institutions</b>																		
a	Individuals																		
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		1277	2146006			2146006	41.6652	2146006		2146006	41.6652			0	.0000	.0000	1330106	
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		26	2168845			2168845	42.1085	2168845		2168845	42.1086			0	.0000	.0000	967545	
	UMAKANT SULTANIA	AASPS1558F		57055			57055	1.1077	57055		57055	1.1077			0	.0000	.0000	57055	
	JYOTI JAIN	AGYPJ0552G		52969			52969	1.0284	52969		52969	1.0284			0	.0000	.0000	52969	
	KAMLESH JAIN	ABLPJ9546N		270007			270007	5.2422	270007		270007	5.2422			0	.0000	.0000	270007	
	KALPESH J. THAKKAR	ACNPT1875B		60088			60088	1.1666	60088		60088	1.1666			0	.0000	.0000	60088	
	AKHANI JAGDISHKUMAR AMRUTLAL	AETPA5632H		149300			149300	2.8987	149300		149300	2.8987			0	.0000	.0000	149300	
b	NBFCs registered with RBI		0	0			0	.0000	0		0	.0000			0	.0000	.0000	0	
c	Employee Trust		0	0			0	.0000	0		0	.0000			0	.0000	.0000	0	
d	Overseas Depositories (holding DRs) (balancing figure)		0	0			0	.0000	0		0	.0000			0	.0000	.0000	0	

Table(II) & (III)- Summary Statement holding of specified securities																		
Name Of The Company : SWORD AND SHIELD PHARMA LIMITED																		
			Quarter Ended 30-Sep-2016															
Category Code	Category and name of Shareholders	PAN	Number of shareholders	No. of fully paid up equity Shares	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total no. of Shares	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (X)			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	No of Locked in shares		Number of Shares pledged or otherwise encumbered		No. of Shares held in Dematerialised form
									No of voting Rights	Class eg: X	Total as a % of (A+B+C)			(XIII)		(XIV)		
			No (a)	As a % of Total shr held	No (a)	As a % of Total shr held												
<b>B</b>	<b>Public Shareholding</b>																	
<b>3</b>	<b>Non-Institutions</b>																	
e	Any Other (specify)		0	0			0	.0000	0		0	.0000		0	.0000		.0000	0
i	Any Other (specify)																	
i	Other Bodies Corporate		39	478349			478349	9.2872	478349		478349	9.2872		0	.0000		.0000	478349
ii	Non Resident Individual		1	10000			10000	.1942	10000		10000	.1942		0	.0000		.0000	10000
iii	Trust		0	0			0	.0000	0		0	.0000		0	.0000		.0000	0
iv	Cooperative Societies		0	0			0	.0000	0		0	.0000		0	.0000		.0000	0
v	Hindu Undivided Family		0	0			0	.0000	0		0	.0000		0	.0000		.0000	0
vi	OCB		0	0			0	.0000	0		0	.0000		0	.0000		.0000	0
vii	Foreign Companies		0	0			0	.0000	0		0	.0000		0	.0000		.0000	0
	<b>Sub Total (B)(3) :</b>		1343	4803200			4803200	93.2551	4803200		4803200	93.2552						2786000



**Table(II) & (III)- Summary Statement holding of specified securities**

**Name Of The Company : SWORD AND SHIELD PHARMA LIMITED**

			<b>Quarter Ended 30-Sep-2016</b>															
Category Code	Category and name of Shareholders	PAN	Number of shareholders	No. of fully paid up equity Shares	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total no. of Shares	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (X)			No. of Shares Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	No of Locked in shares		Number of Shares pledged or otherwise encumbered		No. of Shares held in Dematerialised form
									No of voting Rights	Total as a % of (A+B+C)				No (a)	As a % of Total shr held	No (a)	As a % of Total shr held	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)=IV+V+VI	(VIII)	(IX)	Class eg: X	Class eg:	Total		(XI)	(XII)	(XIII)	(XIV)	(XV)	
<b>B</b>	<b>Public Shareholding</b>																	
	<b>Total Public Shareholding (B) = (B)(1) + (B)(2)+(B)(3) :</b>		1344	4810600			4810600	93.3988	4810600		4810600	93.3989		0	.00		2793400	
<b>Total (A) + (B) :</b>			1348	5150600			5150600	100.0000	5150600		5150600	100.0001		0	.0000		3010800	

Table (IV)- Summary Statement holding of specified securities

Name Of The Company : SWORD AND SHIELD PHARMA LIMITED

Quarter Ended 30-Sep-2016

Category Code	Category of Shareholders	PAN	Number of shareholders	No. of fully paid up equity Shares	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total no. of Shares	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (X)			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a% assuming full conversion of convertible securities ( as a percentage of diluted share capital)	No of Locked in shares		Number of Shares pledged or otherwise encumbered		No. of Shares held in Dematerialised form	
									No of voting Rights					Total as a % of (A+B+C)	No (a)	As a % of Total shr held	No (a)		As a % of Total shr held
									Class eg: X	Class eg: Y	Total								
<b>C</b>																			
<b>Non-Promoter Non-Public</b>																			
1	Custodian/DR Holder		0	0	0	0	0											0	
<b>Non-Promoter Non-Public</b>																			
2	Employee Benefit Trust		0	0	0	0	0											0	
<b>Total Non- Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2):</b>			<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>											<b>0</b>	
<b>GRAND TOTAL (A) + (B) + (C)</b>			1348	5150600		5150600	100.0000						0 .0000	0 .0000				3010800	