

Table(I)- Summary Statement holding of specified securities

Name of the Company : **SWORD AND SHIELD PHARMA LIMITED**

Scrip Code, Name of the scrip, class of security:

/EQUITY

Quarter Ended **30-Jun-2017**

Category Code	Category and name of Shareholders	Number of shareholders (III)	No. of fully paid up equity Shares (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total no. of Shares (VII)=IV+V+VI	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	No of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		No. of Shares held in Dematerialised form (XIV)	
								No of voting Rights					Total as a % of (A+B+C)	No (a)	As a % of Total shr held	No (a)		As a % of Total shr held
								Class eg: X	Class eg: Y	Total								
(A)	Promoter & Promoter Group	4	340000			340000	6.6012	340000	340000	6.6012		0	.0000	0	0	217400		
(B)	Public	1010	4810600			4810600	93.3988	4810600	4810600	93.3988		0	.0000		NA	3833234		
(C)	Non Promoter- Non Public														NA			
(C1)	Shares underlying DRs	0	0			0	NA								NA	0		
(C2)	Shares held by Employee Trusts																	
	Total	1014	5150600			5150600	100.0000	5150600	5150600	100.0000		0	.0000	0	0	4050634		

Table(II) & (III)- Summary Statement holding of specified securities

Name Of The Company : SWORD AND SHIELD PHARMA LIMITED

			Quarter Ended 30-Jun-2017																
Category Code	Category and name of Shareholders	PAN	Number of shareholders	No. of fully paid up equity Shares	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total no. of Shares	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (X)			No. of Shares Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	No of Locked in shares		Number of Shares pledged or otherwise encumbered		No. of Shares held in Dematerialised form	
									No of voting Rights					Total as a % of (A+B+C)	(XIII)		(XIV)		
									Class eg: X	Class eg:	Total				No (a)	As a % of Total shr held	No (a)		As a % of Total shr held
A	Shareholding of Promoter and Promoter Group																		
1	Indian																		
a	Individuals/ Hindu Undivided Family		0	0			0	.0000	0		0	.0000			0	.0000	0	.0000	0
b	Central Government/ State Government(s)		0	0			0	.0000	0		0	.0000			0	.0000	0	.0000	0
c	Bodies Corporate		0	0			0	.0000	0		0	.0000			0	.0000	0	.0000	0
d	Financial Institutions/ Banks		0	0			0	.0000	0		0	.0000			0	.0000	0	.0000	0

Table(II) & (III)- Summary Statement holding of specified securities

Name Of The Company : SWORD AND SHIELD PHARMA LIMITED

			Quarter Ended 30-Jun-2017																
Category Code	Category and name of Shareholders	PAN	Number of shareholders	No. of fully paid up equity Shares	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total no. of Shares	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (X)			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	No of Locked in shares		Number of Shares pledged or otherwise encumbered		No. of Shares held in Dematerialised form	
									No of voting Rights					Total as a % of (A+B+C)	(XIII)		(XIV)		
									Class eg: X	Class eg:	Total				No (a)	As a % of Total shr held	No (a)		As a % of Total shr held
A	Shareholding of Promoter and Promoter Group																		
1	Indian																		
e	Any Other (Specify)																		
i	Director and Relatives		4	340000			340000	6.6012	340000		340000	6.6012			0	.0000	0	.0000	217400
															0	.0000			
i	Director and Relatives														0	.0000			
		JYOTSNABEN PRAVINBHAI PATEL	ADRPP2766N	101400			101400	1.9687	101400		101400	1.9687			0	.0000			
		PRAVINBHAI MANEKLAL PATEL	ADRPP2767P	116000			116000	2.2522	116000		116000	2.2522			0	.0000			
i	Director and Relatives														9200	100.0000			
		JYOTSNA PATEL	ADRPP2766N	9200			9200	.1786	9200		9200	.1786			0	.0000			
i	Director and Relatives														113400	100.0000			
		PRAVIN PATEL	ADRPP2767P	113400			113400	2.2017	113400		113400	2.2017			0	.0000			
	Sub Total (A)(1) :		4	340000			340000	6.6012	340000		340000	6.6012			0	.0000	0	.0000	217400

Table(II) & (III)- Summary Statement holding of specified securities

Name Of The Company : SWORD AND SHIELD PHARMA LIMITED

			Quarter Ended 30-Jun-2017																
Category Code (I)	Category and name of Shareholders (II)	PAN (III)	Number of shareholders (IV)	No. of fully paid up equity Shares (V)	No. of Partly paid-up equity shares held (VI)	No. of shares underlying Depository Receipts (VII)=IV+V+VI	Total no. of Shares (VIII)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2) (IX)	Number of Voting Rights held in each class of securities (X)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (XI)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XII)	No of Locked in shares (XIII)		Number of Shares pledged or otherwise encumbered (XIV)		No. of Shares held in Dematerialised form (XV)	
									No of voting Rights					Total as a % of (A+B+C)	No (a)	As a % of Total shr held	No (a)		As a % of Total shr held
									Class eg: X	Class eg:	Total								
A	Shareholding of Promoter and Promoter Group																		
2	Foreign																		
a	Individuals (Non Resident Indians / Foreign Individuals)		0	0			0	.0000	0		0	.0000		0	.0000	0	.0000	0	
b	Bodies Corporate		0	0			0	.0000	0		0	.0000		0	.0000	0	.0000	0	
c	Institutions		0	0			0	.0000	0		0	.0000		0	.0000	0	.0000	0	
d	Qualified Foreign Investor		0	0			0	.0000	0		0	.0000		0	.0000	0	.0000	0	
e	Any Other (Specify)		0	0			0	.0000	0		0	.0000		0	.0000	0	.0000	0	
	Sub Total (A)(2) :		0	0			0	.0000	0		0	.0000		0	.0000	0	.0000	0	
	Total Shareholding of Promoter and Promoter Group (A) = (A)(1) + (A)(2) :		4	340000			340000	6.6012	340000		340000	6.6012		0	.00	0	.0000	217400	

Table(II) & (III)- Summary Statement holding of specified securities

Name Of The Company : SWORD AND SHIELD PHARMA LIMITED

			Quarter Ended 30-Jun-2017																
Category Code	Category and name of Shareholders	PAN	Number of shareholders	No. of fully paid up equity Shares	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total no. of Shares	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (X)			No. of Shares Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	No of Locked in shares		Number of Shares pledged or otherwise encumbered		No. of Shares held in Dematerialised form	
									No of voting Rights					Total as a % of (A+B+C)	No (a)		No (a)		
									Class eg: X	Class eg: Y	Total				As a % of Total shr held	As a % of Total shr held	As a % of Total shr held		
B	Public Shareholding																		
1	Institutions																		
a	Mutual Funds/UTI		0	0			0	.0000	0		0	.0000			0	.0000	.0000	0	
b	Venture Capital Funds		0	0			0	.0000	0		0	.0000			0	.0000	.0000	0	
c	Alternate Investment Funds		0	0			0	.0000	0		0	.0000			0	.0000	.0000	0	
d	Foreign Venture Capital Investors		0	0			0	.0000	0		0	.0000			0	.0000	.0000	0	
e	Foreign Portfolio Investors		0	0			0	.0000	0		0	.0000			0	.0000	.0000	0	
f	Financial Institutions/ Banks		1	7400			7400	.1437	7400		7400	.1437			0	.0000	.0000	7400	
g	Insurance Companies		0	0			0	.0000	0		0	.0000			0	.0000	.0000	0	

Table(II) & (III)- Summary Statement holding of specified securities

Name Of The Company : SWORD AND SHIELD PHARMA LIMITED

			Quarter Ended 30-Jun-2017																
Category Code	Category and name of Shareholders	PAN	Number of shareholders	No. of fully paid up equity Shares	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total no. of Shares	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (X)			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	No of Locked in shares		Number of Shares pledged or otherwise encumbered		No. of Shares held in Dematerialised form	
									No of voting Rights					Total as a % of (A+B+C)	No (a)		No (a)		
									Class eg: X	Class eg: Y	Total				As a % of Total shr held	As a % of Total shr held	As a % of Total shr held		
B	Public Shareholding																		
1	Institutions																		
h	Provident Funds/ Pension Funds		0	0			0	.0000	0		0	.0000			0	.0000		.0000	0
i	Any Other (specify)		0	0			0	.0000	0		0	.0000			0	.0000		.0000	0
2	Sub Total (B)(1) : Central Govt/State Govt(s)/ POI		1	7400			7400	.1437	7400		7400	.1437							7400
a	GOVT.		0	0			0	.0000	0		0	.0000			0	.0000		.0000	0
	Sub Total (B)(2) :		0	0			0	.0000	0		0	.0000							0

Table(II) & (III)- Summary Statement holding of specified securities																		
Name Of The Company : SWORD AND SHIELD PHARMA LIMITED			Quarter Ended 30-Jun-2017															
Category Code	Category and name of Shareholders	PAN	Number of shareholders	No. of fully paid up equity Shares	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total no. of Shares	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (X)			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	No of Locked in shares		Number of Shares pledged or otherwise encumbered		No. of Shares held in Dematerialised form
									No of voting Rights	Total as a % of (A+B+C)				(XIII)	(XIV)	(XV)		
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)=IV+V+VI	(VIII)	(IX)	Class eg: X	Class eg:	Total		(XI)	No (a)	As a % of Total shr held	No (a)	As a % of Total shr held	(XV)
B	Public Shareholding																	
3	Non-Institutions																	
a	Individuals																	
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		927	1330118			1330118	25.8245	1330118		1330118	25.8245		0	.0000		.0000	1330118
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		33	2861737			2861737	55.5612	2861737		2861737	55.5612		0	.0000		.0000	1884371
														0	.0000			
	RAHUL ANANTRAI MEHTA	AAEPM3588K		87305			87305	1.6950	87305		87305	1.6950		0	.0000			87305
	JAGDISHKUMAR AMRUTLAL AKHANI	AETPA5632H		132150			132150	2.5657	132150		132150	2.5657		0	.0000			132150
	JYOTI JAIN	AGYPJ0552G		52969			52969	1.0284	52969		52969	1.0284		0	.0000			52969
	PUNITABEN BHARATBHAI PATEL	ARWPP5118M		136980			136980	2.6595	136980		136980	2.6595		0	.0000			136980
	SANJAYKUMAR SOMABHAI PATEL	ARAPP7964P		150000			150000	2.9123	150000		150000	2.9123		0	.0000			150000
	CHIRAGBHAI PATEL	AOYPP2738J		119500			119500	2.3201	119500		119500	2.3201		0				
	SANJAYBHAI MODI	AOOPM9792B		141566			141566	2.7485	141566		141566	2.7485		0				
	JITENDRAKUMAR VITHHALBHAI PATEL	AOKPP9304G		148114			148114	2.8757	148114		148114	2.8757		0	.0000			148114
	CHIRAGKUMAR SOMABHAI PATEL	AOIPP5621C		131730			131730	2.5576	131730		131730	2.5576		0	.0000			131730
	RASILABEN PATEL	AOEPP0357H		118000			118000	2.2910	118000		118000	2.2910		0				
	BHARATBHAI PATEL	AMLPP8615K		120000			120000	2.3298	120000		120000	2.3298		0				
	ALPABEN PATEL	AMBPP8340H		119000			119000	2.3104	119000		119000	2.3104		0				
	JASHODABEN JAYANTILAL PATEL	AZOPP9916H		137730			137730	2.6741	137730		137730	2.6741		0	.0000			137730
	MAHENDRABHAI PATEL	AZNPP3228M		120000			120000	2.3298	120000		120000	2.3298		0	.0000			120000

Table(II) & (III)- Summary Statement holding of specified securities

Name Of The Company : SWORD AND SHIELD PHARMA LIMITED

			Quarter Ended 30-Jun-2017																
Category Code	Category and name of Shareholders	PAN	Number of shareholders	No. of fully paid up equity Shares	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total no. of Shares	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (X)			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	No of Locked in shares (XIII)		Number of Shares pledged or otherwise encumbered (XIV)		No. of Shares held in Dematerialised form (XV)	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)=IV+V+VI	(VIII)	(IX)	No of voting Rights			Total as a % of (A+B+C)	(XI)	(XII)	(XIII)		(XIV)		(XV)
								Class eg: X	Class eg:	Total	No (a)				As a % of Total shr held	No (a)	As a % of Total shr held		
B	Public Shareholding																		
3	Non-Institutions																		
a	Individuals																		
	GITABEN PATEL	ATHPP1967G		119300			119300	2.3162	119300		119300	2.3162			0				
	SHAILESHKUMAR HANSRAJBHAI PATEL	ASJPP1494N		215280			215280	4.1797	215280		215280	4.1797			0	.0000			215280
	ASHOKBHAI PATEL	AEYPP6870M		119700			119700	2.3240	119700		119700	2.3240			0				
	PRAKASHKUMAR PATEL	ADIPP7573L		120300			120300	2.3357	120300		120300	2.3357			0				
	VINOD FOJMALJI KOTHARI H.U.F .	AAFHK6200B		83560			83560	1.6223	83560		83560	1.6223			0	.0000			83560
	UMAKANT SULTANIA	AASPS1558F		57055			57055	1.1077	57055		57055	1.1077			0	.0000			57055
	KALPESH J. THAKKAR	ACNPT1875B		60088			60088	1.1666	60088		60088	1.1666			0	.0000			60088
b	NBFCs registered with RBI		0	0			0	.0000	0		0	.0000			0	.0000			0
c	Employee Trust		0	0			0	.0000	0		0	.0000			0	.0000			0
d	Overseas Depositories (holding DRs) (balancing figure)		0	0			0	.0000	0		0	.0000			0	.0000			0
e	Any Other (specify)		0	0			0	.0000	0		0	.0000			0	.0000			0

Table(II) & (III)- Summary Statement holding of specified securities																		
Name Of The Company : SWORD AND SHIELD PHARMA LIMITED																		
			Quarter Ended 30-Jun-2017															
Category Code	Category and name of Shareholders	PAN	Number of shareholders	No. of fully paid up equity Shares	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total no. of Shares	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (X)			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	No of Locked in shares		Number of Shares pledged or otherwise encumbered		No. of Shares held in Dematerialised form
									No of voting Rights	Class eg: X	Total as a % of (A+B+C)			(XIII)		(XIV)		
			No (a)	As a % of Total shr held	No (a)	As a % of Total shr held												
B	Public Shareholding																	
3	Non-Institutions																	
i	Any Other (specify)																	
i	Other Bodies Corporate		46	607645			607645	11.7976	607645		607645	11.7976			0	.0000	.0000	607645
															0			
i	Other Bodies Corporate																	
		HEM SECURITIES LIMITED	AABCH8005N		173003		173003	3.3589	173003		173003	3.3589			0	.0000	.0000	173003
ii	Non Resident Individual		3	3700			3700	.0718	3700		3700	.0718			0	.0000	.0000	3700
															0			
iii	Trust		0	0			0	.0000	0		0	.0000			0	.0000	.0000	0
															0			
iv	Cooperative Societies		0	0			0	.0000	0		0	.0000			0	.0000	.0000	0
															0			
v	Hindu Undivided Family		0	0			0	.0000	0		0	.0000			0	.0000	.0000	0
															0			
vi	OCB		0	0			0	.0000	0		0	.0000			0	.0000	.0000	0
															0			
vii	Foreign Companies		0	0			0	.0000	0		0	.0000			0	.0000	.0000	0
															0			
	Sub Total (B)(3) :		1009	4803200			4803200	93.2551	4803200		4803200	93.2551						3825834
	Total Public Shareholding (B) = (B)(1) + (B)(2)+(B)(3) :														0	.00		

Table(II) & (III)- Summary Statement holding of specified securities

Name Of The Company : SWORD AND SHIELD PHARMA LIMITED

			Quarter Ended 30-Jun-2017															
Category Code	Category and name of Shareholders	PAN	Number of shareholders	No. of fully paid up equity Shares	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total no. of Shares	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (X)			No. of Shares Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	No of Locked in shares		Number of Shares pledged or otherwise encumbered		No. of Shares held in Dematerialised form
									No of voting Rights	Total as a % of (A+B+C)				No (a)	As a % of Total shr held	No (a)	As a % of Total shr held	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)=IV+V+VI	(VIII)	(IX)	Class eg: X	Class eg:	Total		(XI)	(XII)	(XIII)	(XIV)	(XV)	
B	Public Shareholding		1010	4810600			4810600	93.3988	4810600		4810600	93.3988						3833234
Total (A) + (B) :			1014	5150600			5150600	100.0000	5150600		5150600	100.0000			0 .0000	0 .0000	4050634	

Table (IV)- Summary Statement holding of specified securities

Name Of The Company : SWORD AND SHIELD PHARMA LIMITED

Quarter Ended 30-Jun-2017

Category Code (I)	Category of Shareholders (II)	PAN (III)	Number of shareholders (IV)	No. of fully paid up equity Shares (V)	No. of Partly paid-up equity shares held (VI)	No. of shares underlying Depository Receipts (VII)=IV+V+VI	Total no. of Shares (VIII)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2) (IX)	Number of Voting Rights held in each class of securities (X)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (XI)	Shareholding , as a% assuming full conversion of convertible securities (as a percentage of diluted share capital) (XII)	No of Locked in shares (XIII)		Number of Shares pledged or otherwise encumbered (XIV)		No. of Shares held in Dematerialised form (XV)
									No of voting Rights					No (a)	As a % of Total shr held	No (a)	As a % of Total shr held	
									Class eg: X	Class eg: Y	Total as a % of (A+B+C)							
C																		
Non-Promoter Non-Public																		
1	Custodian/DR Holder		0	0		0	0											0
Non-Promoter Non-Public																		
2	Employee Benefit Trust		0	0		0	0											0
Total Non- Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2):			0	0		0	0											0
GRAND TOTAL (A) + (B) + (C)			1014	5150600		5150600	100.0000						0 .0000	0 .0000				4050634