

Table(I)- Summary Statement holding of specified securities

Name of the Company : **SWORD AND SHIELD PHARMA LIMITED**

Scrip Code, Name of the scrip, class of security:

/EQUITY

Quarter Ended **30-Sep-2017**

Category Code	Category and name of Shareholders	Number of shareholders (III)	No. of fully paid up equity Shares (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total no. of Shares (VII)=IV+V+VI	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	No of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		No. of Shares held in Dematerialised form (XIV)
								No of voting Rights		Total as a % of (A+B+C)			No (a)	As a % of Total shr held	No (a)	As a % of Total shr held	
(I)	(II)							Class eg: X	Class eg: Y								
(A)	Promoter & Promoter Group	3	293800			293800	5.7042	293800	293800	5.7042		0	.0000	0	0		217400
(B)	Public	1032	4856800			4856800	94.2958	4856800	4856800	94.2958		0	.0000		NA		3878934
(C)	Non Promoter- Non Public														NA		
(C1)	Shares underlying DRs	0	0			0	NA								NA		0
(C2)	Shares held by Employee Trusts																
	Total	1035	5150600			5150600	100.0000	5150600	5150600	100.0000		0	.0000	0	0		4096334

Table(II) &amp; (III)- Summary Statement holding of specified securities

Name Of The Company : SWORD AND SHIELD PHARMA LIMITED

			Quarter Ended 30-Sep-2017																
Category Code	Category and name of Shareholders	PAN	Number of shareholders	No. of fully paid up equity Shares	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total no. of Shares	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (X)			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	No of Locked in shares (XIII)		Number of Shares pledged or otherwise encumbered (XIV)		No. of Shares held in Dematerialised form (XV)	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)=IV+V+VI	(VIII)	(IX)	No of voting Rights			Total as a % of (A+B+C)	(XI)	(XII)	No (a)	As a % of Total shr held	No (a)	As a % of Total shr held	(XV)
									Class eg: X	Class eg:	Total								
<b>A</b>	<b>Shareholding of Promoter and Promoter Group</b>																		
<b>1</b>	<b>Indian</b>																		
a	Individuals/ Hindu Undivided Family		0	0			0	.0000	0			0	.0000		0	.0000	0	.0000	0
b	Central Government/ State Government(s)		0	0			0	.0000	0			0	.0000		0	.0000	0	.0000	0
c	Bodies Corporate		0	0			0	.0000	0			0	.0000		0	.0000	0	.0000	0
d	Financial Institutions/ Banks		0	0			0	.0000	0			0	.0000		0	.0000	0	.0000	0
e	Any Other ( Specify )																		
i	Directors & their Relatives															.0000			
	JYOTSNABEN PRAVINBHAI PATEL	ADRPP2766N		101400			101400	1.9687	101400			101400	1.9687		0	.0000	0	0	101400
	PRAVIN PATEL	ADRPP2767P		76400			76400	1.4833	76400			76400	1.4833		0	.0000	0	0	
	PRAVINBHAI MANEKLAL PATEL	ADRPP2767P		116000			116000	2.2522	116000			116000	2.2522		0	.0000	0	0	116000
e	Any Other (Specify)																		
i	Director and Relatives		3	293800			293800	5.7042	293800			293800	5.7042		0	.0000	0	.0000	217400
	<b>Sub Total (A)(I) :</b>		3	293800			293800	5.7042	293800			293800	5.7042		0	.0000	0	.0000	217400

Table(II) &amp; (III)- Summary Statement holding of specified securities

Name Of The Company : SWORD AND SHIELD PHARMA LIMITED

			Quarter Ended 30-Sep-2017																
Category Code  (I)	Category and name of Shareholders  (II)	PAN  (III)	Number of shareholders  (IV)	No. of fully paid up equity Shares  (V)	No. of Partly paid-up equity shares held  (VI)	No. of shares underlying Depository Receipts  (VII)=IV+V+VI	Total no. of Shares  (VIII)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)  (IX)	Number of Voting Rights held in each class of securities (X)			No. of Shares Underlying Outstanding convertible securities (including Warrants)  (XI)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)  (XII)	No of Locked in shares  (XIII)		Number of Shares pledged or otherwise encumbered  (XIV)		No. of Shares held in Dematerialised form  (XV)	
									No of voting Rights					Total as a % of (A+B+C)	No (a)	As a % of Total shr held	No (a)		As a % of Total shr held
									Class eg: X	Class eg: Y	Total								
<b>A</b>	<b>Shareholding of Promoter and Promoter Group</b>																		
<b>2</b>	<b>Foreign</b>																		
a	Individuals (Non Resident Indians / Foreign Individuals)		0	0			0	.0000	0		0	.0000		0	.0000	0	.0000	0	
b	Bodies Corporate		0	0			0	.0000	0		0	.0000		0	.0000	0	.0000	0	
c	Institutions		0	0			0	.0000	0		0	.0000		0	.0000	0	.0000	0	
d	Qualified Foreign Investor		0	0			0	.0000	0		0	.0000		0	.0000	0	.0000	0	
e	Any Other ( Specify )		0	0			0	.0000	0		0	.0000		0	.0000	0	.0000	0	
	<b>Sub Total (A)(2) :</b>		0	0			0	.0000	0		0	.0000		0	.0000	0	.0000	0	
	<b>Total Shareholding of Promoter and Promoter Group (A) = (A)(1) + (A)(2) :</b>		3	293800			293800	5.7042	293800		293800	5.7042		0	.00	0	.0000	217400	

Table(II) &amp; (III)- Summary Statement holding of specified securities

Name Of The Company : SWORD AND SHIELD PHARMA LIMITED

			Quarter Ended 30-Sep-2017																
Category Code	Category and name of Shareholders	PAN	Number of shareholders	No. of fully paid up equity Shares	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total no. of Shares	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (X)			No. of Shares Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	No of Locked in shares		Number of Shares pledged or otherwise encumbered		No. of Shares held in Dematerialised form	
									No of voting Rights					Total as a % of (A+B+C)	(XIII)		(XIV)		
									Class eg: X	Class eg: Y	Total				No (a)	As a % of Total shr held	No (a)		As a % of Total shr held
<b>B</b>	<b>Public Shareholding</b>																		
<b>1</b>	<b>Institutions</b>																		
a	Mutual Funds/UTI		0	0			0	.0000	0		0	.0000			0	.0000		.0000	0
b	Venture Capital Funds		0	0			0	.0000	0		0	.0000			0	.0000		.0000	0
c	Alternate Investment Funds		0	0			0	.0000	0		0	.0000			0	.0000		.0000	0
d	Foreign Venture Capital Investors		0	0			0	.0000	0		0	.0000			0	.0000		.0000	0
e	Foreign Portfolio Investors		0	0			0	.0000	0		0	.0000			0	.0000		.0000	0
f	Financial Institutions/ Banks		1	7400			7400	.1437	7400		7400	.1437			0	.0000		.0000	7400
g	Insurance Companies		0	0			0	.0000	0		0	.0000			0	.0000		.0000	0

Table(II) &amp; (III)- Summary Statement holding of specified securities

Name Of The Company : SWORD AND SHIELD PHARMA LIMITED

			Quarter Ended 30-Sep-2017																
Category Code	Category and name of Shareholders	PAN	Number of shareholders	No. of fully paid up equity Shares	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total no. of Shares	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (X)			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	No of Locked in shares		Number of Shares pledged or otherwise encumbered		No. of Shares held in Dematerialised form	
									No of voting Rights					Total as a % of (A+B+C)	(XIII)		(XIV)		
									Class eg: X	Class eg: Y	Total				No (a)	As a % of Total shr held	No (a)		As a % of Total shr held
<b>B</b>	<b>Public Shareholding</b>																		
<b>1</b>	<b>Institutions</b>																		
h	Provident Funds/ Pension Funds		0	0			0	.0000	0		0	.0000			0	.0000		.0000	0
i	Any Other (specify)		0	0			0	.0000	0		0	.0000			0	.0000		.0000	0
<b>2</b>	<b>Sub Total (B)(1) : Central Govt/State Govt(s)/ POI</b>		1	7400			7400	.1437	7400		7400	.1437							7400
a	GOVT.		0	0			0	.0000	0		0	.0000				.0000		.0000	0
	<b>Sub Total (B)(2) :</b>		0	0			0	.0000	0		0	.0000							0

Table(II) & (III)- Summary Statement holding of specified securities																		
Name Of The Company : SWORD AND SHIELD PHARMA LIMITED			Quarter Ended 30-Sep-2017															
Category Code	Category and name of Shareholders	PAN	Number of shareholders	No. of fully paid up equity Shares	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total no. of Shares	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (X)			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	No of Locked in shares		Number of Shares pledged or otherwise encumbered		No. of Shares held in Dematerialised form
									No of voting Rights	Class eg: X	Total as a % of (A+B+C)			(XIII)		(XIV)		
			No (a)	As a % of Total shr held	No (a)	As a % of Total shr held												
<b>B</b>	<b>Public Shareholding</b>																	
<b>3</b>	<b>Non-Institutions</b>																	
a	Individuals																	
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		923	1371359			1371359	26.6252	1371359		1371359	26.6252		0	.0000		.0000	1370859
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		35	2873627			2873627	55.7921	2873627		2873627	55.7921		0	.0000		.0000	1896261
	UMAKANT SULTANIA	AASPS1558F		57055			57055	1.1077	57055		57055	1.1077		0	.0000		.0000	57055
	JYOTI JAIN	AGYPJ0552G		52969			52969	1.0284	52969		52969	1.0284		0	.0000		.0000	52969
	BHARATBHAI PATEL	AMLPP8615K		120000			120000	2.3298	120000		120000	2.3298						
	GITABEN PATEL	ATHPP1967G		119300			119300	2.3162	119300		119300	2.3162						
	SHAILESHKUMAR HANSRAJBHAI PATEL	ASJPP1494N		215280			215280	4.1797	215280		215280	4.1797		0	.0000		.0000	215280
	PUNITABEN BHARATBHAI PATEL	ARWPP5118M		136980			136980	2.6595	136980		136980	2.6595		0	.0000		.0000	136980
	SANJAYKUMAR SOMABHAI PATEL	ARAPP7964P		150000			150000	2.9123	150000		150000	2.9123		0	.0000		.0000	150000
	CHIRAGBHAI PATEL	AOYPP2738J		119500			119500	2.3201	119500		119500	2.3201						
	SANJAYBHAI MODI	AOOPM9792B		141566			141566	2.7485	141566		141566	2.7485						
	JITENDRAKUMAR VITHTHALBHAI PATEL	AOKPP9304G		147614			147614	2.8660	147614		147614	2.8660		0	.0000		.0000	147614
	CHIRAGKUMAR SOMABHAI PATEL	AOIPP5621C		131730			131730	2.5576	131730		131730	2.5576		0	.0000		.0000	131730
	RASILABEN PATEL	AOEPP0357H		118000			118000	2.2910	118000		118000	2.2910						
	JASHODABEN JAYANTILAL PATEL	AZOPP9916H		137730			137730	2.6741	137730		137730	2.6741		0	.0000		.0000	137730
	MAHENDRABHAI PATEL	AZNPP3228M		120000			120000	2.3298	120000		120000	2.3298		0	.0000		.0000	120000

Table(II) &amp; (III)- Summary Statement holding of specified securities

Name Of The Company : SWORD AND SHIELD PHARMA LIMITED

			Quarter Ended 30-Sep-2017																
Category Code	Category and name of Shareholders	PAN	Number of shareholders	No. of fully paid up equity Shares	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total no. of Shares	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (X)			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	No of Locked in shares		Number of Shares pledged or otherwise encumbered		No. of Shares held in Dematerialised form	
									No of voting Rights					Total as a % of (A+B+C)	(XIII)		(XIV)		
									Class eg: X	Class eg:	Total				No (a)	As a % of Total shr held	No (a)		As a % of Total shr held
<b>B</b>	<b>Public Shareholding</b>																		
<b>3</b>	<b>Non-Institutions</b>																		
a	Individuals																		
	ALPABEN PATEL	AMBPP8340H		119000			119000	2.3104	119000		119000	2.3104							
	ASHOKBHAI PATEL	AEYPP6870M		119700			119700	2.3240	119700		119700	2.3240							
	KALPESH J. THAKKAR	ACNPT1875B		60088			60088	1.1666	60088		60088	1.1666	0	.0000					60088
	PRAKASHKUMAR PATEL	ADIPP7573L		120300			120300	2.3357	120300		120300	2.3357							
	JAGDISHKUMAR AMRUTLAL AKHANI	AETPA5632H		121581			121581	2.3605	121581		121581	2.3605	0	.0000					121581
b	NBFCs registered with RBI		0	0			0	.0000	0		0	.0000			0	.0000			0
c	Employee Trust		0	0			0	.0000	0		0	.0000			0	.0000			0
d	Overseas Depositories (holding DRs) (balancing figure)		0	0			0	.0000	0		0	.0000			0	.0000			0
e	Any Other (specify)		0	0			0	.0000	0		0	.0000			0	.0000			0

Table(II) & (III)- Summary Statement holding of specified securities																			
Name Of The Company : SWORD AND SHIELD PHARMA LIMITED			Quarter Ended 30-Sep-2017																
Category Code	Category and name of Shareholders	PAN	Number of shareholders	No. of fully paid up equity Shares	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total no. of Shares	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (X)			No. of Shares Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	No of Locked in shares		Number of Shares pledged or otherwise encumbered		No. of Shares held in Dematerialised form	
									No of voting Rights					Total as a % of (A+B+C)	(XIII)		(XIV)		
									Class eg: X	Class eg: Y	Total				No (a)	As a % of Total shr held	No (a)		As a % of Total shr held
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)=IV+V+VI	(VIII)	(IX)				(XI)	(XII)				(XV)		
<b>B</b>	<b>Public Shareholding</b>																		
<b>3</b>	<b>Non-Institutions</b>																		
i	Any Other (specify)																		
i	Other Bodies Corporate		43	409135			409135	7.9434	409135		409135	7.9434		0	.0000	.0000	409135		
ii)a	NRI WITH REPAT		4	10760			10760	.2089	10760		10760	.2089		0	.0000	.0000	10760		
ii)b	NRI WITHOUT REPAT		0	0			0	.0000	0		0	.0000		0	.0000	.0000	0		
iii	Trust		0	0			0	.0000	0		0	.0000			.0000	.0000	0		
iv	Cooperative Societies		0	0			0	.0000	0		0	.0000			.0000	.0000	0		
v	Hindu Undivided Family		26	184519			184519	3.5825	184519		184519	3.5825		0	.0000	.0000	184519		
v	Hindu Undivided Family														.0000				
		VINOD FOJMALJI KOTHARI H.U.F .	AAFHK6200B	84560			84560	1.6418	84560		84560	1.6418		0	.0000	.0000	84560		
vi	OCB		0	0			0	.0000	0		0	.0000			.0000	.0000	0		
vii	Foreign Companies		0	0			0	.0000	0		0	.0000			.0000	.0000	0		



**Table(II) & (III)- Summary Statement holding of specified securities**

**Name Of The Company : SWORD AND SHIELD PHARMA LIMITED**

			<b>Quarter Ended 30-Sep-2017</b>															
Category Code	Category and name of Shareholders	PAN	Number of shareholders	No. of fully paid up equity Shares	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total no. of Shares	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (X)			No. of Shares Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	No of Locked in shares		Number of Shares pledged or otherwise encumbered		No. of Shares held in Dematerialised form
									No of voting Rights					Total as a % of (A+B+C)	No (a)	As a % of Total shr held	No (a)	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)=IV+V+VI	(VIII)	(IX)	Class eg: X	Class eg:	Total	(XI)	(XII)					(XIII)
<b>B</b>	<b>Public Shareholding</b>																	
<b>3</b>	<b>Non-Institutions</b>																	
	<b>Sub Total (B)(3) :</b>		1031	4849400			4849400	94.1521	4849400		4849400	94.1521						3871534
	<b>Total Public Shareholding (B) = (B)(1) + (B)(2)+(B)(3) :</b>		1032	4856800			4856800	94.2958	4856800		4856800	94.2958	0	.00				3878934
<b>Total (A) + (B) :</b>			1035	5150600			5150600	100.0000	5150600		5150600	100.0000	0	.0000				4096334

Table (IV)- Summary Statement holding of specified securities

Name Of The Company : SWORD AND SHIELD PHARMA LIMITED

Quarter Ended 30-Sep-2017

Category Code	Category of Shareholders	PAN	Number of shareholders	No. of fully paid up equity Shares	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total no. of Shares	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (X)			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a% assuming full conversion of convertible securities ( as a percentage of diluted share capital)	No of Locked in shares		Number of Shares pledged or otherwise encumbered		No. of Shares held in Dematerialised form	
									No of voting Rights					Total as a % of (A+B+C)	No (a)	As a % of Total shr held	No (a)		As a % of Total shr held
									Class eg: X	Class eg: Y	Total								
<b>C</b>																			
<b>Non-Promoter Non-Public</b>																			
1	Custodian/DR Holder		0	0	0	0	0											0	
<b>Non-Promoter Non-Public</b>																			
2	Employee Benefit Trust		0	0	0	0	0											0	
<b>Total Non- Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2):</b>			<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>											<b>0</b>	
<b>GRAND TOTAL (A) + (B) + (C)</b>			1035	5150600		5150600	100.0000						0	.0000	0	.0000		4096334	