

Table(I)- Summary Statement holding of specified securities

Name of the Company : **SWORD AND SHIELD PHARMA LIMITED**

Scrip Code, Name of the scrip, class of security:

/EQUITY

Quarter Ended **30-Sep-2019**

Category Code	Category and name of Shareholders	Number of shareholders (III)	No. of fully paid up equity Shares (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total no. of Shares (VII)=IV+V+VI	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	No of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		No. of Shares held in Dematerialised form (XIV)
								No of voting Rights	Class eg: X	Total as a % of (A+B+C)			No (a)	As a % of Total shr held	No (a)	As a % of Total shr held	
(A)	Promoter & Promoter Group	2	217400			217400	4.2209	217400	217400	4.2209		0	.0000	0	0	217400	
(B)	Public	1011	4933200			4933200	95.7791	4933200	4933200	95.7791		0	.0000		NA	3955734	
(C)	Non Promoter- Non Public														NA		
(C1)	Shares underlying DRs	0	0			0	NA								NA	0	
(C2)	Shares held by Employee Trusts																
	Total	1013	5150600			5150600	100.0000	5150600	5150600	100.0000		0	.0000	0	0	4173134	

Table(II) & (III)- Summary Statement holding of specified securities

Name Of The Company : SWORD AND SHIELD PHARMA LIMITED

			Quarter Ended 30-Sep-2019																
Category Code	Category and name of Shareholders	PAN	Number of shareholders	No. of fully paid up equity Shares	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total no. of Shares	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (X)			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	No of Locked in shares (XIII)		Number of Shares pledged or otherwise encumbered (XIV)		No. of Shares held in Dematerialised form (XV)	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)=IV+V+VI	(VIII)	(IX)	No of voting Rights			Total as a % of (A+B+C)	(XI)	(XII)	No (a)	As a % of Total shr held	No (a)	As a % of Total shr held	(XV)
									Class eg: X	Class eg:	Total								
A	Shareholding of Promoter and Promoter Group																		
1	Indian																		
a	Individuals/ Hindu Undivided Family		0	0			0	.0000	0		0	.0000			0	.0000	0	.0000	0
b	Central Government/ State Government(s)		0	0			0	.0000	0		0	.0000			0	.0000	0	.0000	0
c	Bodies Corporate		0	0			0	.0000	0		0	.0000			0	.0000	0	.0000	0
d	Financial Institutions/ Banks		0	0			0	.0000	0		0	.0000			0	.0000	0	.0000	0
e	Any Other (Specify) Directors & their Relatives															.0000			
		JYOTSNABEN PRAVINBHAI PATEL ADRPP2766N		101400			101400	1.9687	101400		101400	1.9687			0	.0000	0	0	101400
		PRAVINBHAI MANEKLAL PATEL ADRPP2767P		116000			116000	2.2522	116000		116000	2.2522			0	.0000	0	0	116000
e	Any Other (Specify) Director and Relatives		2	217400			217400	4.2209	217400		217400	4.2209			0	.0000	0	.0000	217400
	Sub Total (A)(1) :		2	217400			217400	4.2209	217400		217400	4.2209			0	.0000	0	.0000	217400

Table(II) & (III)- Summary Statement holding of specified securities

Name Of The Company : SWORD AND SHIELD PHARMA LIMITED

			Quarter Ended 30-Sep-2019																
Category Code	Category and name of Shareholders	PAN	Number of shareholders	No. of fully paid up equity Shares	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total no. of Shares	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (X)			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	No of Locked in shares		Number of Shares pledged or otherwise encumbered		No. of Shares held in Dematerialised form	
									No of voting Rights					Total as a % of (A+B+C)	(XIII)		(XIV)		
									Class eg: X	Class eg: Y	Total				No (a)	As a % of Total shr held	No (a)		As a % of Total shr held
A	Shareholding of Promoter and Promoter Group																		
2	Foreign																		
a	Individuals (Non Resident Indians / Foreign Individuals)		0	0			0	.0000	0		0	.0000			0	.0000	0	.0000	0
b	Bodies Corporate		0	0			0	.0000	0		0	.0000			0	.0000	0	.0000	0
c	Institutions		0	0			0	.0000	0		0	.0000			0	.0000	0	.0000	0
d	Qualified Foreign Investor		0	0			0	.0000	0		0	.0000			0	.0000	0	.0000	0
e	Any Other (Specify)		0	0			0	.0000	0		0	.0000			0	.0000	0	.0000	0
	Sub Total (A)(2) :		0	0			0	.0000	0		0	.0000			0	.0000	0	.0000	0
	Total Shareholding of Promoter and Promoter Group (A) = (A)(1) + (A)(2) :		2	217400			217400	4.2209	217400		217400	4.2209			0	.00	0	.0000	217400

Table(II) & (III)- Summary Statement holding of specified securities

Name Of The Company : SWORD AND SHIELD PHARMA LIMITED

			Quarter Ended 30-Sep-2019																
Category Code	Category and name of Shareholders	PAN	Number of shareholders	No. of fully paid up equity Shares	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total no. of Shares	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (X)			No. of Shares Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	No of Locked in shares		Number of Shares pledged or otherwise encumbered		No. of Shares held in Dematerialised form	
									No of voting Rights					Total as a % of (A+B+C)	No (a)		No (a)		
									Class eg: X	Class eg: Y	Total				As a % of Total shr held	As a % of Total shr held	As a % of Total shr held		
B	Public Shareholding																		
1	Institutions																		
a	Mutual Funds/UTI		0	0			0	.0000	0		0	.0000			0	.0000		.0000	0
b	Venture Capital Funds		0	0			0	.0000	0		0	.0000			0	.0000		.0000	0
c	Alternate Investment Funds		0	0			0	.0000	0		0	.0000			0	.0000		.0000	0
d	Foreign Venture Capital Investors		0	0			0	.0000	0		0	.0000			0	.0000		.0000	0
e	Foreign Portfolio Investors		0	0			0	.0000	0		0	.0000			0	.0000		.0000	0
f	Financial Institutions/ Banks		1	7400			7400	.1437	7400		7400	.1437			0	.0000		.0000	7400
g	Insurance Companies		0	0			0	.0000	0		0	.0000			0	.0000		.0000	0

Table(II) & (III)- Summary Statement holding of specified securities

Name Of The Company : SWORD AND SHIELD PHARMA LIMITED

			Quarter Ended 30-Sep-2019															
Category Code	Category and name of Shareholders	PAN	Number of shareholders	No. of fully paid up equity Shares	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total no. of Shares	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (X)			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	No of Locked in shares		Number of Shares pledged or otherwise encumbered		No. of Shares held in Dematerialised form
									No of voting Rights	Class eg: X	Class eg: Y			Total	Total as a % of (A+B+C)	No (a)	As a % of Total shr held	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)=IV+V+VI	(VIII)	(IX)				(X)	(XI)	(XII)	(XIII)	(XIV)	(XV)	
B	Public Shareholding																	
1	Institutions																	
h	Provident Funds/ Pension Funds		0	0			0	.0000	0		0	.0000			0	.0000	.0000	0
i	Any Other (specify)		0	0			0	.0000	0		0	.0000			0	.0000	.0000	0
2	Sub Total (B)(1) : Central Govt/State Govt(s)/ POI		1	7400			7400	.1437	7400		7400	.1437						7400
a	GOVT.		0	0			0	.0000	0		0	.0000				.0000	.0000	0
	Sub Total (B)(2) :		0	0			0	.0000	0		0	.0000						0

Table(II) & (III)- Summary Statement holding of specified securities																		
Name Of The Company : SWORD AND SHIELD PHARMA LIMITED			Quarter Ended 30-Sep-2019															
Category Code	Category and name of Shareholders	PAN	Number of shareholders	No. of fully paid up equity Shares	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total no. of Shares	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (X)			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	No of Locked in shares		Number of Shares pledged or otherwise encumbered		No. of Shares held in Dematerialised form
									No of voting Rights	Total as a % of (A+B+C)				No (a)	As a % of Total shr held	No (a)	As a % of Total shr held	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)=IV+V+VI	(VIII)	(IX)	Class eg: X	Class eg:	Total		(XI)	(XII)	(XIII)	(XIV)	(XV)	
B	Public Shareholding																	
3	Non-Institutions																	
a	Individuals																	
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		910	1380820			1380820	26.8089	1380820		1380820	26.8089			0	.0000	.0000	1380720
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		35	2957357			2957357	57.4177	2957357		2957357	57.4177			0	.0000	.0000	1979991
	UMAKANT SULTANIA	AASPS1558F		57055			57055	1.1077	57055		57055	1.1077			0	.0000	.0000	57055
	JYOTI JAIN	AGYPJ0552G		52969			52969	1.0284	52969		52969	1.0284			0	.0000	.0000	52969
	ASHVINKUMAR AMRUTBHAI PATEL	AMAPP8686K		132204			132204	2.5668	132204		132204	2.5668			0	.0000	.0000	132204
	PUNITABEN BHARATBHAI PATEL	ARWPP5118M		136980			136980	2.6595	136980		136980	2.6595			0	.0000	.0000	136980
	SANJAYKUMAR SOMABHAI PATEL	ARAPP7964P		150000			150000	2.9123	150000		150000	2.9123			0	.0000	.0000	150000
	CHIRAGBHAI PATEL	AOYPP2738J		119500			119500	2.3201	119500		119500	2.3201						
	SANJAYBHAI MODI	AOOPM9792B		141566			141566	2.7485	141566		141566	2.7485						
	JITENDRAKUMAR VITHHALBHAI PATEL	AOKPP9304G		147614			147614	2.8660	147614		147614	2.8660			0	.0000	.0000	147614
	CHIRAGKUMAR SOMABHAI PATEL	AOIPP5621C		131730			131730	2.5576	131730		131730	2.5576			0	.0000	.0000	131730
	RASILABEN PATEL	AOEPP0357H		118000			118000	2.2910	118000		118000	2.2910						
	BHARATBHAI PATEL	AMLPP8615K		120000			120000	2.3298	120000		120000	2.3298						
	ALPABEN PATEL	AMBPP8340H		119000			119000	2.3104	119000		119000	2.3104						
	JASHODABEN JAYANTILAL PATEL	AZOPP9916H		137730			137730	2.6741	137730		137730	2.6741			0	.0000	.0000	137730
	MAHENDRABHAI PATEL	AZNPP3228M		120000			120000	2.3298	120000		120000	2.3298			0	.0000	.0000	120000

Table(II) & (III)- Summary Statement holding of specified securities																			
Name Of The Company : SWORD AND SHIELD PHARMA LIMITED			Quarter Ended 30-Sep-2019																
Category Code	Category and name of Shareholders	PAN	Number of shareholders	No. of fully paid up equity Shares	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total no. of Shares	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (X)			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	No of Locked in shares		Number of Shares pledged or otherwise encumbered		No. of Shares held in Dematerialised form	
									No of voting Rights	Total as a % of (A+B+C)				(XIII)		(XIV)			(XV)
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)=IV+V+VI	(VIII)	(IX)	Class eg: X	Class eg:	Total	(X)	(XI)	(XII)	No (a)	As a % of Total shr held	No (a)	As a % of Total shr held	
B	Public Shareholding																		
3	Non-Institutions																		
a	Individuals																		
	GITABEN PATEL	ATHPP1967G		119300			119300	2.3162	119300			119300	2.3162						
	SHAILESHKUMAR HANSRAJBHAI PATEL	ASJPP1494N		215280			215280	4.1797	215280			215280	4.1797	0	.0000				215280
	PRAVEEN KUMAR RATHI	AHFPR4225A		52000			52000	1.0096	52000			52000	1.0096	0	.0000				52000
	ASHOKBHAI PATEL	AEYPP6870M		119700			119700	2.3240	119700			119700	2.3240						
	KALPESH J. THAKKAR	ACNPT1875B		60088			60088	1.1666	60088			60088	1.1666	0	.0000				60088
	PRAKASHKUMAR PATEL	ADIPP7573L		120300			120300	2.3357	120300			120300	2.3357						
	PRAVINBHAI MANEKLAL PATEL	ADRPP2767P		113400			113400	2.2017	113400			113400	2.2017	0	.0000				113400
b	NBFCs registered with RBI		0	0			0	.0000	0			0	.0000		.0000			.0000	0
c	Employee Trust		0	0			0	.0000	0			0	.0000		0	.0000		.0000	0
d	Overseas Depositories (holding DRs) (balancing figure)		0	0			0	.0000	0			0	.0000		0	.0000		.0000	0
e	Any Other (specify)		0	0			0	.0000	0			0	.0000		0	.0000		.0000	0

Table(II) & (III)- Summary Statement holding of specified securities																			
Name Of The Company : SWORD AND SHIELD PHARMA LIMITED			Quarter Ended 30-Sep-2019																
Category Code	Category and name of Shareholders	PAN	Number of shareholders	No. of fully paid up equity Shares	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total no. of Shares	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (X)			No. of Shares Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	No of Locked in shares		Number of Shares pledged or otherwise encumbered		No. of Shares held in Dematerialised form	
									No of voting Rights					Total as a % of (A+B+C)	(XIII)		(XIV)		
									Class eg: X	Class eg:	Total				No (a)	As a % of Total shr held	No (a)		As a % of Total shr held
B	Public Shareholding																		
3	Non-Institutions																		
i	Any Other (specify)																		
i	Other Bodies Corporate		34	393235			393235	7.6347	393235		393235	7.6347		0	.0000		.0000	393235	
ii)a	NRI WITH REPAT		3	7860			7860	.1526	7860		7860	.1526		0	.0000		.0000	7860	
ii)b	NRI WITHOUT REPAT		1	55			55	.0011	55		55	.0011		0	.0000		.0000	55	
iii	Trust		0	0			0	.0000	0		0	.0000			.0000		.0000	0	
iv	Cooperative Societies		0	0			0	.0000	0		0	.0000			.0000		.0000	0	
v	Hindu Undivided Family		27	186473			186473	3.6204	186473		186473	3.6204		0	.0000		.0000	186473	
v	Hindu Undivided Family														.0000				
		VINOD FOJMALJI KOTHARI H.U.F .	AAFHK6200B	83761			83761	1.6262	83761		83761	1.6262		0	.0000		.0000	83761	
vi	OCB		0	0			0	.0000	0		0	.0000			.0000		.0000	0	
vii	Foreign Companies		0	0			0	.0000	0		0	.0000			.0000		.0000	0	

Table(II) & (III)- Summary Statement holding of specified securities

Name Of The Company : SWORD AND SHIELD PHARMA LIMITED

			Quarter Ended 30-Sep-2019															
Category Code	Category and name of Shareholders	PAN	Number of shareholders	No. of fully paid up equity Shares	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total no. of Shares	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (X)			No. of Shares Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	No of Locked in shares		Number of Shares pledged or otherwise encumbered		No. of Shares held in Dematerialised form
									No of voting Rights					Total as a % of (A+B+C)	No (a)	As a % of Total shr held	No (a)	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)=IV+V+VI	(VIII)	(IX)	Class eg: X	Class eg:	Total	(XI)	(XII)					(XIII)
B	Public Shareholding																	
3	Non-Institutions																	
	Sub Total (B)(3) :		1010	4925800			4925800	95.6354	4925800		4925800	95.6354						3948334
	Total Public Shareholding (B) = (B)(1) + (B)(2)+(B)(3) :		1011	4933200			4933200	95.7791	4933200		4933200	95.7791	0	.00				3955734
Total (A) + (B) :			1013	5150600			5150600	100.0000	5150600		5150600	100.0000	0	.0000				4173134

Table (IV)- Summary Statement holding of specified securities

Name Of The Company : SWORD AND SHIELD PHARMA LIMITED

Quarter Ended 30-Sep-2019

Category Code	Category of Shareholders	PAN	Number of shareholders	No. of fully paid up equity Shares	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total no. of Shares	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (X)			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a% assuming full conversion of convertible securities (as a percentage of diluted share capital)	No of Locked in shares		Number of Shares pledged or otherwise encumbered		No. of Shares held in Dematerialised form	
									No of voting Rights					Total as a % of (A+B+C)	No (a)	As a % of Total shr held	No (a)		As a % of Total shr held
									Class eg: X	Class eg: Y	Total								
C																			
Non-Promoter Non-Public																			
1	Custodian/DR Holder		0	0	0	0	0											0	
Non-Promoter Non-Public																			
2	Employee Benefit Trust		0	0	0	0	0											0	
Total Non- Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2):			0	0	0	0	0											0	
GRAND TOTAL (A) + (B) + (C)			1013	5150600		5150600	100.0000						0	.0000	0	.0000		4173134	