



**October 1, 2019**

**BSE Limited**

25<sup>th</sup> Floor, P J Towers,  
Dalal Street, Fort,  
Mumbai - 400 001  
Scrip Code: 531637

Dear Sirs,

**Sub. : Disclosure of Voting Results of 24<sup>th</sup> Annual General Meeting of the Company held on September 30, 2019**

The details of voting results of the 24<sup>th</sup> Annual General meeting of the Company held on September 30, 2019 are enclosed in the format prescribed under Regulation 44(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

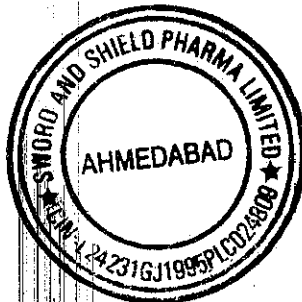
Kindly take the same on your record.

Thanking You,

Yours Faithfully,

**For Sword and Shield Pharma Limited**

**Mukesh Chaudhary**  
Company Secretary &  
Compliance Officer



**Encl. : Disclosure of Voting Results of 24<sup>th</sup> Annual General Meeting of the Company**

## Voting Results

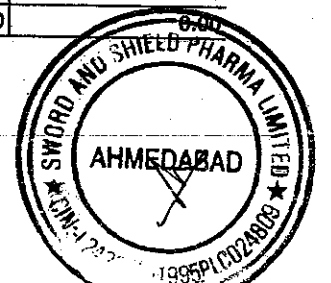
(Pursuant to Regulation 44(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015)

**Sword and Shield Pharma Limited | 24<sup>th</sup> Annual General Meeting | September 30, 2019**

<b>Date of the AGM / EGM</b>	<b>September 30, 2019</b>
<b>Total number of shareholders on record date</b>	<b>1006</b>
<b>No. of shareholders present in the meeting either in person or through proxy</b>	
Promoters and Promoter group	<b>2</b>
Public	<b>17</b>
<b>No. of shareholders attended the meeting through video conferencing</b>	
Promoters and Promoter group	<b>Not Applicable</b>
Public	<b>Not Applicable</b>

### Resolution No. 1 : Adoption of Audited Financial Statements for the financial year ended on March 31, 2019

Resolution required : (Ordinary / Special)		Ordinary Resolution						
Whether promoter/ promoter group are interested in the agenda/resolution?		No						
Category	Mode of Voting	No. of shares held 1	No. of votes polled 2	% of Votes Polled on outstanding shares 3=[2/1]* 100	No. of Votes - in favour 4	No. of Votes - against 5	% of Votes in favour on votes polled 6=[4/2]*100	% of Votes against on votes polled 7=[5/2]*100
Promoter and Promoter Group	E-Voting	340000	217400	63.94	217400	0	100.00	0.00
	Poll		0	0.00	0	0	0.00	0.00
	Postal Ballot (if applicable)		-	-	-	-	-	-
	<b>Total</b>		<b>217400</b>	<b>63.94</b>	<b>217400</b>	<b>0</b>	<b>100.00</b>	<b>0.00</b>
Public- Institutions	E-Voting	7400	0	0.00	0	0	0.00	0.00
	Poll		0	0.00	0	0	0.00	0.00
	Postal Ballot (if applicable)		-	-	-	-	-	-
	<b>Total</b>		<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>
Public- Non Institutions	E-Voting	4803200	2100702	43.74	2100702	0	100.00	0.00
	Poll		1102	0.02	1102	0	100.00	0.00
	Postal Ballot (if applicable)		-	-	-	-	-	-
	<b>Total</b>		<b>2101804</b>	<b>43.76</b>	<b>2101804</b>	<b>0</b>	<b>100.00</b>	<b>0.00</b>
<b>Total</b>		<b>5150600</b>	<b>2319204</b>	<b>45.03</b>	<b>2319204</b>	<b>0</b>	<b>100.00</b>	<b>0.00</b>

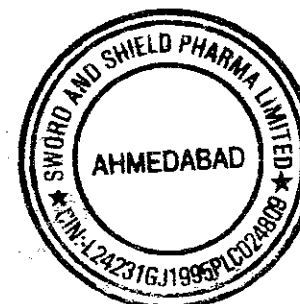


**Sword and Shield Pharma Limited | 24<sup>th</sup> Annual General Meeting | September 30, 2019**

<b>Resolution No. 2 : Appointment of Smt. Jyotsnaben Patel, as a Director of the Company who retires by rotation</b>								
Resolution required : (Ordinary / Special)			Ordinary Resolution					
Whether promoter/ promoter group are interested in the agenda/resolution?			No					
Category	Mode of Voting	No. of shares held	No. of votes polled	% of Votes Polled on outstanding shares 3=[2/1]*100	No. of Votes – in favour	No. of Votes – against	% of Votes in favour on votes polled 6=[4/2]*100	% of Votes against on votes polled 7=[5/2]*100
		1	2		4	5		
Promoter and Promoter Group	E-Voting	340000	217400	63.94	217400	0	100.00	0.00
	Poll		0	0.00	0	0	0.00	0.00
	Postal Ballot (if applicable)		-	-	-	-	-	-
	<b>Total</b>		217400	63.94	217400	0	100.00	0.00
Public- Institutions	E-Voting	7400	0	0.00	0	0	0.00	0.00
	Poll		0	0.00	0	0	0.00	0.00
	Postal Ballot (if applicable)		-	-	-	-	-	-
	<b>Total</b>		0	0.00	0	0	0.00	0.00
Public- Non Institutions	E-Voting	4803200	2100702	43.74	2099902	800	99.96	0.04
	Poll		1102	0.02	1102	0	100.00	0.00
	Postal Ballot (if applicable)		-	-	-	-	-	-
	<b>Total</b>		2101804	43.76	2101004	800	99.96	0.04
<b>Total</b>		<b>5150600</b>	<b>2319204</b>	<b>45.03</b>	<b>2318404</b>	<b>800</b>	<b>99.97</b>	<b>0.03</b>

For Sword and Shield Pharma Limited

Ahmedabad  
October 1, 2019



*Mukesh Chaudhary*  
Mukesh Chaudhary  
Company Secretary



## Scrutinizer Report on Remote E-Voting

[Pursuant to Section 108 of the Companies Act, 2013 read with Rule 20 of the Companies (Management and Administration) Rules, 2014]

Date: October 01, 2019

To,

The Chairman of 24<sup>th</sup> Annual General Meeting

**Sword and Shield Pharma Limited**

55, World Business House, Near Parimal Garden,  
Ambawadi, Ahmedabad -380006

Dear Sir,

**Sub: Scrutinizer Report on Results of Remote E-voting in respect of businesses set forth in the notice of 24<sup>th</sup> Annual General Meeting of members of the Sword and Shield Pharma Limited held on Monday, September 30, 2019 at 10.00 A.M. at the registered office of the Company.**

I, Anand S. Lavingia, Practicing Company Secretary, have been appointed as a Scrutinizer of Sword and Shield Pharma Limited ("the Company"), pursuant to Section 108 of the Companies Act, 2013 read with Rule 20 of the Companies (Management and Administration) Rules, 2014 as amended from time to time, for the purpose of scrutinizing the process of remote e-voting in respect of businesses set forth in the notice of 24<sup>th</sup> Annual General Meeting ("AGM") of the Company, in a fair and transparent manner.

### Responsibility of the Management of the Company

The Management of the company is responsible to ensure the compliance with the requirements of the Companies Act, 2013 and rules made there under and the applicable provisions of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 relating to remote e-voting on the resolutions contained in the aforesaid notice to the AGM of the members of the company.

### Responsibility of Scrutinizer

My responsibility, as a scrutinizer, is limited to ensure and scrutinize the voting done through remote e-voting in a fair and transparent manner and to make a scrutinizer's report of the votes casted "in favour" or "against" the resolution, based on the reports generated from the remote e-voting system of National Securities Depository Limited (NSDL).

As per the Notice of AGM, below mentioned businesses (resolutions) were proposed for the approval of Members through Remote E-voting and physical ballot paper at the AGM;

1. **Ordinary Resolution** for receiving, considering and adopting the Audited Financial Statements of the Company for the financial year ended on March 31, 2019, together with the Reports of Board of Directors and the Auditor thereon;





2. **Ordinary Resolution** for appointing a Director in place of Mrs. Jyotsnaben Patel (DIN: 01877469), who retires by rotation and being eligible, seeks reappointment;

I hereby report as under;

1. On the basis of the register of members and the list of beneficiary owners made available by the Depositories viz., National Securities Depository Limited (NSDL) and Central Depository Services (India) Limited (CDSL), as on Friday, August 30, 2019, the Company completed dispatch of the Notice of the AGM;
  - Through E-Mail to the members whose E-Mail Id's are registered with company/depository participant on September 04, 2019;
  - Through permitted (in physical) mode to the other members on September 4, 2019.
2. The Company has also placed the Notice of AGM on the Web site of the Company viz [www.swordnshieldpharma.com](http://www.swordnshieldpharma.com) as well as web Site of E-voting Agency viz [www.evotingindia.com](http://www.evotingindia.com).
3. The Company has given the newspaper advertisement for date and time of commencement and end of remote e-voting, Book Closure and Annual General Meeting in;
  - A. Lokmitra (Vernacular Language - Gujarati) on September 7, 2019&
  - B. Free Press Gujarat (English Language) on September 7, 2019.both circulating the district in which the registered office of the Company is situated.
4. The Shareholders holding Shares as on the "Cut off" date, i.e. Monday, September 23, 2019 were entitled to vote through remote e-voting on the businesses mentioned in the Notice of AGM of the Company.
5. In terms of the aforesaid Notice, remote e-voting was opened for four days which commenced on Thursday, September 26, 2019 at 9.00 A.M. and ended on Sunday, September 29, 2019 at 05:00 P.M. and members of the Company, holding Equity Shares of the Company as on Monday, September 23, 2019, were required to cast their votes electronically, conveying their assent or Dissent in respect of the ordinary or special businesses, as the case may be, through remote e-voting platform provided by NSDL.
6. After the conclusion of voting at the Annual General Meeting, I first counted the Votes casted at the meeting through physical ballots papers and thereafter unblocked the votes cast through remote e-voting on Tuesday, October 01, 2019 around 12 Noon, after the completion of voting at the Annual General Meeting, in the presence of two witnesses, namely, Ms. Shivani Pathak and Ms. Himani Thakkar, who are not in employment of the Company.
7. I have scrutinized and reviewed the remote e-voting and votes tendered therein based on the data downloaded from the website of NSDL E-voting System.
8. The result of voting through remote e-voting in respect of businesses placed in the notice of AGM held on September 30, 2019 is **annexed herewith**.





# Anand Lavingia

(B.Com., LL.B (Spl.) ACS)

Practicing Company Secretary

9. The Register, all other papers and relevant records relating to remote e-voting shall remain in my safe custody until the Chairman considers, approves and signs the Minutes of the aforesaid Annual General Meeting and thereafter the same will be handed over to the Company Secretary/authorised Director of the Company.

Place: Ahmedabad

Date: October 01, 2019

UDIN: A026458A000019583

Signature:

Name of Company Secretary: Anand S. Lavingia

ACS No.: 26458 C. P. No.: 11410



## Declaration

We, the undersigned witnesseth that;

1. The remote e-voting result/list was unblocked and downloaded from the NSDL website ([www.evotingindia.com](http://www.evotingindia.com)) in our presence at 12 noon on October 01, 2019 at the office of Mr. Anand Lavingia, the scrutinizer.

Witness 1: Ms. Shivani Pathak	Witness 2: Ms. Himani Thakkar

Countered by  
For, Sword and Shiled Pharma Limited

Chairman of AGM



## Detailed Results of Remote e-voting

### Resolution 1

To receive, consider and adopt the Audited Financial Statements of the Company for the financial year ended on March 31, 2019, together with the Reports of Board of Directors and the Auditor thereon- **Ordinary Resolution**

Particulars	No. of E-Votes	No. of Votes contained in E-Votes
Received		
Assent	21	2318102
Promoters	2	217400
Public	19	2100702
Dissent	-	-
Promoters	-	-
Public	-	-
Invalid	-	-
Promoters	-	-
Public	-	-
Total	21	2318102

### Resolution 2

To appoint a Director in place of Mrs. Jyotsnaben Patel (DIN: 01877469), who retires by rotation and being eligible, seeks reappointment- **Ordinary Resolution**

Particulars	No. of E-Votes	No. of Votes contained in E-Votes
Received		
Assent	19	2317302
Promoters	2	217400
Public	17	2099902
Dissent	2	800
Promoters	-	-
Public	2	800
Invalid	-	-
Promoters	-	-
Public	-	-
Total	21	2318102

Place: Ahmedabad

Date : October 01, 2019

UDIN: A026458A000019583

Signature:

Name of Company Secretary : Anand S. Lavingia

ACS No.: 26458 C. P. No.: 11410





## Consolidated Scrutinizer Report

### on Remote E-Voting & Voting at 24<sup>th</sup> AGM

[Pursuant to Section 108 of the Companies Act, 2013 read with Rule 20 of the Companies (Management and Administration) Rules, 2014]

Date: October 01, 2019

To,

The Chairman of 24<sup>th</sup> Annual General Meeting

**Sword and Shield Pharma Limited**

55, World Business House, Near Parimal Garden,  
Ambawadi, Ahmedabad -380006

Dear Sir,

**Sub: Report on Consolidated Results of Remote E-voting and Physical voting through Ballot Papers in respect of businesses set forth in the notice of 24<sup>th</sup> Annual General Meeting of members of the Sword and Shiled Pharma Limited held on Monday, September 30, 2019 at 10.00 A.M. at the registered office of the Company situated at 55, World Business House, Near Parimal Garden, Ambawadi, Ahmedabad 380006**

I, Anand S. Lavingia, Practicing Company Secretary, have been appointed as a Scrutinizer of Sword and Shield Pharma Limited ("the Company"), pursuant to Section 108 and 109 of the Companies Act, 2013 read with Rule 20 & 21 of the Companies (Management and Administration) Rules, 2014 as amended from time to time, for the purpose of scrutinizing the remote e-voting and physical voting through ballot papers ("Poll") in respect of businesses set forth in the notice of 24th Annual General Meeting ("AGM") of the Company, in a fair and transparent manner.

#### Responsibility of the Management of the Company

The Management of the company is responsible to ensure the compliance with the requirements of the Companies Act, 2013 and rules made there under and the applicable provisions of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 relating to remote e-voting and physical voting through polling papers on the resolution contained in the aforesaid notice to the AGM of the members of the company.

#### Responsibility of Scrutinizer







My responsibility, as a scrutinizer, is limited to ensure and scrutinize the voting done through remote e-voting and physical voting through ballot papers in a fair and transparent manner and to make a consolidated scrutinizer's report of the votes cast "in favour" or "against" the resolution, based on the reports generated from the remote e-voting system of National Securities Depository Limited (NSDL) and physical voting through ballot papers.

As per the Notice of AGM, below mentioned businesses (resolutions) were proposed for the approval of Members through Remote E-voting and physical ballot paper at the AGM;

3. **Ordinary Resolution** for receiving, considering and adopting the Audited Financial Statements of the Company for the financial year ended on March 31, 2019, together with the Reports of Board of Directors and the Auditor thereon;
4. **Ordinary Resolution** for appointing a Director in place of Mrs. Jyotsnaben Patel (DIN: 01877469), who retires by rotation and being eligible, seeks reappointment;

I hereby report as under;

11. On the basis of the register of members and the list of beneficiary owners made available by the Depositories viz., National Securities Depository Limited (NSDL) and Central Depository Services (India) Limited (CDSL), as on Friday, August 30, 2019, the Company completed dispatch of the Notice of the AGM;
  - Through E-Mail to the members whose E-Mail Id's are registered with company/depository participant on September 04, 2019;
  - Through permitted (in physical) mode to the other members on September 4, 2019.
12. The Company has also placed the Notice of AGM on the Web site of the Company viz [www.swordnshieldpharma.com](http://www.swordnshieldpharma.com) as well as web Site of E-voting Agency viz [www.evotingindia.com](http://www.evotingindia.com).
13. The Company has given the newspaper advertisement for date and time of commencement and end of remote e-voting, Book Closure and Annual General Meeting in;
  - C. Lokmitra (Vernacular Language - Gujarati) on September 7, 2019&
  - D. Free Press Gujarat (English Language) on September 7, 2019.

both circulating the district in which the registered office of the Company is situated.





14. The Shareholders holding Shares as on the "Cut off" date, i.e. Monday, September 23, 2019 were entitled to vote through remote e-voting on the businesses mentioned in the Notice of AGM of the Company.
15. In terms of the aforesaid Notice, remote e-voting was opened for four days which commenced on Thursday, September 26, 2019 at 9.00 A.M. and ended on Sunday, September 29, 2019 at 05:00 P.M. and members of the Company, holding Equity Shares of the Company as on Monday, September 23, 2019, were required to cast their votes electronically, conveying their assent or Dissent in respect of the ordinary or special businesses, as the case may be, through remote e-voting platform provided by NSDL.
16. At the venue of Annual General Meeting, the Company has provided the facility of voting through physical ballot papers to those Shareholders who were present at the Meeting and had not already voted through remote e-voting platform of NSDL.
17. After the conclusion of voting at the Annual General Meeting, I first counted the Vote casted at the meeting through physical ballots papers and thereafter unblocked the votes casted through remote e-voting on Tuesday, October 01, 2019 around at 12:00 P.M., after the completion of voting at the Annual General Meeting, in the presence of two witnesses namely, Ms. Shivani Pathak and Ms. Himani Thakkar, who are not in employment of the Company.
18. I have scrutinized and reviewed the remote e-voting and votes tendered therein based on the data downloaded from the website of NSDL E-voting System and voting through physical ballot paper at the AGM.
19. I have not found any ballot paper as Invalid.
20. The consolidated results of remote e-voting and physical ballot papers voting in respect of resolutions placed in the notice of AGM held on Monday, September 30, 2019 is **annexed herewith**.
21. The Register, all other papers and relevant records relating to remote e-voting and voting at the AGM through physical ballot papers shall remain in my safe custody until the Chairman considers, approves and signs the Minutes of the aforesaid Annual General Meeting and thereafter the same will be handed over to the Company Secretary/authorised director of the Company.
22. The result of the voting by members through remote e-voting and physical ballots at the AGM in respect of the above mentioned businesses may, accordingly, be declared by the Chairman or any other Authorized Person, as decided by the Board of Director of the Company and who has also countersigned this report.






# Anand Lavingia

(B.Com., LL.B (Spl.) ACS)

Practicing Company Secretary

Place: Ahmedabad  
Date : October 01, 2019  
UDIN: A026458A000019583



Signature:   
Name of Company Secretary : Anand S. Lavingia  
ACS No.: 26458 C. P. No.: 11410



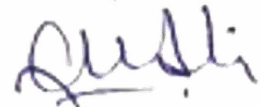
## Declaration

We, the undersigned witnesseth that;

2. The physical ballot papers were opened in our presence;
3. The remote e-voting result/list was unblocked and downloaded from the NSDL website (www.evotingindia.com) in our presence around at 12:00 p.m. on October 01, 2019 at the office of Mr. Anand Lavingia, the scrutinizer.

	
<b>Witness 1:</b> Ms. Shivani Pathak	<b>Witness 2:</b> Ms. Himani Thakkar

Countered by  
For Sword and Shiled Pharma Limited



Chairman of AGM



Annexure

## Detailed Consolidated Results of Remote e-voting and Ballot Forms voting

### Resolution 1

To receive, consider and adopt the Audited Financial Statements of the Company for the financial year ended on March 31, 2019, together with the Reports of Board of Directors and the Auditor thereon-  
**Ordinary Resolution**

Particulars	No. of			No. of Votes contained in			%
	Polling Papers	E-Votes	Total	Polling Papers	E-Votes	Total	
Received							
Assent	01	21	22	1102	2318102	2319204	100.00%
<i>Promoters</i>	-	2	2	-	217400	217400	9.37%
<i>Public</i>	01	19	20	1102	2100702	2101804	90.63%
Dissent	-	-	-	-	-	-	-
<i>Promoters</i>	-	-	-	-	-	-	-
<i>Public</i>	-	-	-	-	-	-	-
Invalid	-	-	-	-	-	-	-
<i>Promoters</i>	-	-	-	-	-	-	-
<i>Public</i>	-	-	-	-	-	-	-
<b>Total</b>	<b>01</b>	<b>21</b>	<b>22</b>	<b>1102</b>	<b>2318102</b>	<b>2319204</b>	<b>100.00%</b>





# Anand Lavingia

(B.Com., LL.B (Spl.) ACS)

Practicing Company Secretary

## Resolution 2

To appoint a Director in place of Mrs. Jyotsnaben Patel (DIN: 01877469), who retires by rotation and being eligible, seeks reappointment- **Ordinary Resolution**

Particulars	No. of			No. of Votes contained in			%
	Polling Papers	E-Votes	Total	Polling Papers	E-Votes	Total	
Received							
Assent	01	19	20	1102	2317302	2318404	99.97%
Promoters	-	2	2	-	217400	217400	9.37%
Public	01	17	18	1102	2099902	2101004	90.60%
Dissent	-	2	2	-	800	800	0.03%
Promoters	-	-	-	-	-	-	-
Public	-	2	2	-	800	800	0.03%
Invalid	-	-	-	-	-	-	-
Promoters	-	-	-	-	-	-	-
Public	-	-	-	-	-	-	-
Total	01	21	22	1102	2318102	2319204	100.00

Place: Ahmedabad

Date : October 01, 2019

UDIN: A026458A000019583

Signature:

Name of Company Secretary : Anand S. Lavingia

ACS No.: 26458 C. P. No.: 11410

