

Table(I)- Summary Statement holding of specified securities

Name of the Company : **SWORD AND SHIELD PHARMA LIMITED**

Scrip Code, Name of the scrip, class of security:

/EQUITY

Quarter Ended

31-Mar-2017

Category Code	Category and name of Shareholders	Number of shareholders (III)	No. of fully paid up equity Shares (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total no. of Shares (VII)=IV+V+VI	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	No of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		No. of Shares held in Dematerialised form (XIV)
								No of voting Rights	Class eg: X	Total as a % of (A+B+C)			No (a)	As a % of Total shr held	No (a)	As a % of Total shr held	
(A)	Promoter & Promoter Group	4	340000			340000	6.6012	340000	340000	6.6012		0	.0000	0	0	217400	
(B)	Public	1033	4810600			4810600	93.3988	4810600	4810600	93.3988		0	.0000		NA	3415660	
(C)	Non Promoter- Non Public														NA		
(C1)	Shares underlying DRs	0	0			0	NA								NA	0	
(C2)	Shares held by Employee Trusts																
	Total	1037	5150600			5150600	100.0000	5150600	5150600	100.0000		0	.0000	0	0	3633060	

Table(II) & (III)- Summary Statement holding of specified securities

Name Of The Company : SWORD AND SHIELD PHARMA LIMITED

			Quarter Ended 31-Mar-2017																
Category Code	Category and name of Shareholders	PAN	Number of shareholders	No. of fully paid up equity Shares	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total no. of Shares	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (X)			No. of Shares Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	No of Locked in shares		Number of Shares pledged or otherwise encumbered		No. of Shares held in Dematerialised form	
									No of voting Rights					Total as a % of (A+B+C)	(XIII)		(XIV)		
									Class eg: X	Class eg:	Total				No (a)	As a % of Total shr held	No (a)		As a % of Total shr held
A	Shareholding of Promoter and Promoter Group																		
1	Indian																		
a	Individuals/ Hindu Undivided Family		0	0			0	.0000	0		0	.0000			0	.0000	0	.0000	0
b	Central Government/ State Government(s)		0	0			0	.0000	0		0	.0000			0	.0000	0	.0000	0
c	Bodies Corporate		0	0			0	.0000	0		0	.0000			0	.0000	0	.0000	0
d	Financial Institutions/ Banks		0	0			0	.0000	0		0	.0000			0	.0000	0	.0000	0

Table(II) & (III)- Summary Statement holding of specified securities

Name Of The Company : SWORD AND SHIELD PHARMA LIMITED																			
			Quarter Ended 31-Mar-2017																
Category Code	Category and name of Shareholders	PAN	Number of shareholders	No. of fully paid up equity Shares	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total no. of Shares	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (X)			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	No of Locked in shares		Number of Shares pledged or otherwise encumbered		No. of Shares held in Dematerialised form	
									No of voting Rights					Total as a % of (A+B+C)	(XIII)		(XIV)		
									Class eg: X	Class eg:	Total				No (a)	As a % of Total shr held	No (a)		As a % of Total shr held
A	Shareholding of Promoter and Promoter Group																		
1	Indian																		
e	Any Other (Specify)																		
i	Director and Relatives		4	340000			340000	6.6012	340000		340000	6.6012		0	.0000	0	.0000	217400	
														0					
i	Director and Relatives													9200	100.0000				
		JYOTSNA PATEL	ADRPP2766N	9200			9200	.1786	9200		9200	.1786		0					
i	Director and Relatives													101400	100.0000				
		JYOTSNABEN PRAVINBHAI PATEL	ADRPP2766N	101400			101400	1.9687	101400		101400	1.9687		0					
i	Director and Relatives													113400	100.0000				
		PRAVIN PATEL	ADRPP2767P	113400			113400	2.2017	113400		113400	2.2017		0					
i	Director and Relatives													116000	100.0000				
		PRAVINBHAI MANEKLAL PATEL	ADRPP2767P	116000			116000	2.2522	116000		116000	2.2522		0					
	Sub Total (A)(1) :		4	340000			340000	6.6012	340000		340000	6.6012		0	.0000	0	.0000	217400	

Table(II) & (III)- Summary Statement holding of specified securities

Name Of The Company : SWORD AND SHIELD PHARMA LIMITED

			Quarter Ended 31-Mar-2017																
Category Code (I)	Category and name of Shareholders (II)	PAN (III)	Number of shareholders (IV)	No. of fully paid up equity Shares (V)	No. of Partly paid-up equity shares held (VI)	No. of shares underlying Depository Receipts (VII)=IV+V+VI	Total no. of Shares (VIII)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2) (IX)	Number of Voting Rights held in each class of securities (X)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (XI)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XII)	No of Locked in shares (XIII)		Number of Shares pledged or otherwise encumbered (XIV)		No. of Shares held in Dematerialised form (XV)	
									No of voting Rights					Total as a % of (A+B+C)	No (a)	As a % of Total shr held	No (a)		As a % of Total shr held
									Class eg: X	Class eg:	Total								
A	Shareholding of Promoter and Promoter Group																		
2	Foreign																		
a	Individuals (Non Resident Indians / Foreign Individuals)		0	0			0	.0000	0		0	.0000		0	.0000	0	.0000	0	
b	Bodies Corporate		0	0			0	.0000	0		0	.0000		0	.0000	0	.0000	0	
c	Institutions		0	0			0	.0000	0		0	.0000		0	.0000	0	.0000	0	
d	Qualified Foreign Investor		0	0			0	.0000	0		0	.0000		0	.0000	0	.0000	0	
e	Any Other (Specify)		0	0			0	.0000	0		0	.0000		0	.0000	0	.0000	0	
	Sub Total (A)(2) :		0	0			0	.0000	0		0	.0000		0	.0000	0	.0000	0	
	Total Shareholding of Promoter and Promoter Group (A) = (A)(1) + (A)(2) :		4	340000			340000	6.6012	340000		340000	6.6012		0	.00	0	.0000	217400	

Table(II) & (III)- Summary Statement holding of specified securities

Name Of The Company : SWORD AND SHIELD PHARMA LIMITED

			Quarter Ended 31-Mar-2017																
Category Code	Category and name of Shareholders	PAN	Number of shareholders	No. of fully paid up equity Shares	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total no. of Shares	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (X)			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	No of Locked in shares		Number of Shares pledged or otherwise encumbered		No. of Shares held in Dematerialised form	
									No of voting Rights					Total as a % of (A+B+C)	No (a)		No (a)		
									Class eg: X	Class eg: Y	Total				As a % of Total shr held	As a % of Total shr held	As a % of Total shr held		
B	Public Shareholding																		
1	Institutions																		
a	Mutual Funds/UTI		0	0			0	.0000	0		0	.0000			0	.0000	.0000	0	
b	Venture Capital Funds		0	0			0	.0000	0		0	.0000			0	.0000	.0000	0	
c	Alternate Investment Funds		0	0			0	.0000	0		0	.0000			0	.0000	.0000	0	
d	Foreign Venture Capital Investors		0	0			0	.0000	0		0	.0000			0	.0000	.0000	0	
e	Foreign Portfolio Investors		0	0			0	.0000	0		0	.0000			0	.0000	.0000	0	
f	Financial Institutions/ Banks		1	7400			7400	.1437	7400		7400	.1437			0	.0000	.0000	7400	
g	Insurance Companies		0	0			0	.0000	0		0	.0000			0	.0000	.0000	0	

Table(II) & (III)- Summary Statement holding of specified securities

Name Of The Company : SWORD AND SHIELD PHARMA LIMITED

			Quarter Ended 31-Mar-2017															
Category Code	Category and name of Shareholders	PAN	Number of shareholders	No. of fully paid up equity Shares	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total no. of Shares	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (X)			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	No of Locked in shares		Number of Shares pledged or otherwise encumbered		No. of Shares held in Dematerialised form
									No of voting Rights	Total as a % of (A+B+C)				No (a)	As a % of Total shr held	No (a)	As a % of Total shr held	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)=IV+V+VI	(VIII)	(IX)	Class eg: X	Class eg:	Total		(XI)	(XII)	(XIII)	(XIV)	(XV)	
B	Public Shareholding																	
1	Institutions																	
h	Provident Funds/ Pension Funds		0	0			0	.0000	0			0	.0000	0	.0000		0	
i	Any Other (specify)		0	0			0	.0000	0			0	.0000	0	.0000		0	
2	Sub Total (B)(1) : Central Govt/State Govt(s)/ POI		1	7400			7400	.1437	7400			7400	.1437				7400	
a	GOVT.		0	0			0	.0000	0			0	.0000	0	.0000		0	
	Sub Total (B)(2) :		0	0			0	.0000	0			0	.0000				0	

Table(II) & (III)- Summary Statement holding of specified securities																		
Name Of The Company : SWORD AND SHIELD PHARMA LIMITED			Quarter Ended 31-Mar-2017															
Category Code	Category and name of Shareholders	PAN	Number of shareholders	No. of fully paid up equity Shares	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total no. of Shares	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (X)			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	No of Locked in shares		Number of Shares pledged or otherwise encumbered		No. of Shares held in Dematerialised form
									No of voting Rights	Class eg: X	Total as a % of (A+B+C)			(XIII)		(XIV)		
			No (a)	As a % of Total shr held	No (a)	As a % of Total shr held												
B	Public Shareholding																	
3	Non-Institutions																	
a	Individuals																	
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		948	1332840			1332840	25.8774	1332840		1332840	25.8774			0	.0000	.0000	1326040
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		29	2994016			2994016	58.1294	2994016		2994016	58.1295			0	.0000	.0000	1605876
	UMAKANT SULTANIA	AASPS1558F		57055			57055	1.1077	57055		57055	1.1077			0	.0000		57055
	ASHOKBHAI PATEL	AEYPP6870M		119700			119700	2.3240	119700		119700	2.3240			0			
	ALPABEN PATEL	AMBPP8340H		119000			119000	2.3104	119000		119000	2.3104			0			
	JASHODABEN PATEL PATEL	CTLPP8131K		255730			255730	4.9651	255730		255730	4.9651			0			
	JITENDRAKUMAR PATEL	CCNYP5494A		241880			241880	4.6962	241880		241880	4.6962			0			
	MAHENDRABHAI PATEL	AZNPP3228M		120000			120000	2.3298	120000		120000	2.3298			0	.0000		120000
	GITABEN PATEL	ATHPP1967G		119300			119300	2.3162	119300		119300	2.3162			0			
	SHAILESHKUMAR HANSRAJBHAI PATEL	ASJPP1494N		215280			215280	4.1797	215280		215280	4.1797			0	.0000		215280
	PUNITABEN BHARATBHAI PATEL	ARWPP5118M		136980			136980	2.6595	136980		136980	2.6595			0	.0000		136980
	PUNITA PATEL	ARWPP5118M		120000			120000	2.3298	120000		120000	2.3298			0			
	SANJAYKUMAR SOMABHAI PATEL	ARAPP7964P		150000			150000	2.9123	150000		150000	2.9123			0	.0000		150000
	CHIRAGBHAI PATEL	AOYPP2738J		251230			251230	4.8777	251230		251230	4.8777			0			
	JYOTI JAIN	AGYPJ0552G		52969			52969	1.0284	52969		52969	1.0284			0	.0000		52969
	AKHANI JAGDISHKUMAR AMRUTLAL	AETPA5632H		149300			149300	2.8987	149300		149300	2.8987			0	.0000		149300

Table(II) & (III)- Summary Statement holding of specified securities

Name Of The Company : SWORD AND SHIELD PHARMA LIMITED

			Quarter Ended 31-Mar-2017																
Category Code	Category and name of Shareholders	PAN	Number of shareholders	No. of fully paid up equity Shares	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total no. of Shares	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (X)			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	No of Locked in shares		Number of Shares pledged or otherwise encumbered		No. of Shares held in Dematerialised form	
									No of voting Rights	Total as a % of (A+B+C)				(XIII)	(XIV)	(XV)			
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)=IV+V+VI	(VIII)	(IX)	Class eg: X	Class eg:	Total		(XI)	(XII)	No (a)	As a % of Total shr held	No (a)	As a % of Total shr held	(XV)
B	Public Shareholding																		
3	Non-Institutions																		
a	Individuals																		
	KAMLESH JAIN	ABLPJ9546N		270007			270007	5.2422	270007		270007	5.2422			0	.0000			270007
	KALPESH J. THAKKAR	ACNPT1875B		60088			60088	1.1666	60088		60088	1.1666			0	.0000			60088
	PRAKASHKUMAR PATEL	ADIPP7573L		120300			120300	2.3357	120300		120300	2.3357			0				
b	NBFCs registered with RBI		0	0			0	.0000	0		0	.0000			0	.0000		.0000	0
c	Employee Trust		0	0			0	.0000	0		0	.0000			0	.0000		.0000	0
d	Overseas Depositories (holding DRs) (balancing figure)		0	0			0	.0000	0		0	.0000			0	.0000		.0000	0
e	Any Other (specify)		0	0			0	.0000	0		0	.0000			0	.0000		.0000	0

Table(II) & (III)- Summary Statement holding of specified securities

Name Of The Company : SWORD AND SHIELD PHARMA LIMITED

			Quarter Ended 31-Mar-2017																
Category Code	Category and name of Shareholders	PAN	Number of shareholders	No. of fully paid up equity Shares	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total no. of Shares	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (X)			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	No of Locked in shares		Number of Shares pledged or otherwise encumbered		No. of Shares held in Dematerialised form	
									No of voting Rights					Total as a % of (A+B+C)	(XIII)		(XIV)		
									Class eg: X	Class eg: Y	Total				No (a)	As a % of Total shr held	No (a)		As a % of Total shr held
B	Public Shareholding																		
3	Non-Institutions																		
i	Any Other (specify)																		
i	Other Bodies Corporate		52	465244			465244	9.0328	465244		465244	9.0328		0	.0000		.0000	465244	
ii	Non Resident Individual		3	11100			11100	.2155	11100		11100	.2155		0	.0000		.0000	11100	
iii	Trust		0	0			0	.0000	0		0	.0000		0	.0000		.0000	0	
iv	Cooperative Societies		0	0			0	.0000	0		0	.0000		0	.0000		.0000	0	
v	Hindu Undivided Family		0	0			0	.0000	0		0	.0000		0	.0000		.0000	0	
vi	OCB		0	0			0	.0000	0		0	.0000		0	.0000		.0000	0	
vii	Foreign Companies		0	0			0	.0000	0		0	.0000		0	.0000		.0000	0	
	Sub Total (B)(3) :		1032	4803200			4803200	93.2551	4803200		4803200	93.2552						3408260	
	Total Public Shareholding (B) = (B)(1) + (B)(2)+(B)(3) :		1033	4810600			4810600	93.3988	4810600		4810600	93.3989	0	.00				3415660	

Table(II) & (III)- Summary Statement holding of specified securities

Name Of The Company : SWORD AND SHIELD PHARMA LIMITED												
	Quarter Ended		31-Mar-2017									
Total (A) + (B) :	1037	5150600	5150600	100.0000	5150600	5150600	100.0001	0	.0000	0	.0000	3633060

Table (IV)- Summary Statement holding of specified securities

Name Of The Company : SWORD AND SHIELD PHARMA LIMITED

Quarter Ended 31-Mar-2017

Category Code (I)	Category of Shareholders (II)	PAN (III)	Number of shareholders (IV)	No. of fully paid up equity Shares (V)	No. of Partly paid-up equity shares held (VI)	No. of shares underlying Depository Receipts (VII)=IV+V+VI	Total no. of Shares (VIII)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2) (IX)	Number of Voting Rights held in each class of securities (X)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (XI)	Shareholding , as a% assuming full conversion of convertible securities (as a percentage of diluted share capital) (XII)	No of Locked in shares (XIII)		Number of Shares pledged or otherwise encumbered (XIV)		No. of Shares held in Dematerialised form (XV)
									No of voting Rights					No (a)	As a % of Total shr held	No (a)	As a % of Total shr held	
									Class eg: X	Class eg: Y	Total							
C																		
Non-Promoter Non-Public																		
1	Custodian/DR Holder		0	0		0	0											0
Non-Promoter Non-Public																		
2	Employee Benefit Trust		0	0		0	0											0
Total Non- Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2):			0	0		0	0											0
GRAND TOTAL (A) + (B) + (C)			1037	5150600		5150600	100.0000						0 .0000	0 .0000				3633060