Table(I) Summary Statement holding of specified securities

Name of the Company :

SWORD AND SHIELD PHARMA LIMITED

		ED															
Scrip Code,	Name of the scrip, class of security:		/EQUITY	Y													
Qua	arter Ended 31-Dec-2019																
Category Code (I)	Category and name of Shareholders (II)	Number of shareholders (III)	No. of fully paid up equity Shares (IV)	No. of Partly paid-up equity shares held (V)	underlying	Shares (VII)=IV+V+	per SCRR, 1957)	f held in each o securities	class of	Tot	Shares Underlying Outstanding convertible securities	assuming full conversion	No of Loo in shares (XII)		Number o pledged or otherwise encumbere (XII	r ed	No. of Shares held in Dematerali sed form
						VI	As a % of (A+B+C2) (VIII)		a To ta 1	al as a % of(A+B+ C)	Warrants) (X)	of convertible ssecurities (as a percentage of diluted share capital)	No (a)	As a % of To tal shr held	o No (a)	As a % of To tal shr held	(XIV)
(A)	Promoter & Promoter Group	2	217400	D		217400	4.2209	217400	217400	4.2209		(XI)	0	.0000	О	0	217400
(B)	Public	1008	4933200	D		4933200	95.7791	4933200	4933200	95.7791			0	.0000		NA	3955734
(C)	Non Promoter- Non Public															NA	
(C1)	Shares underlying DRs) (D		0	NA									NA	0
(C2)	Shares held by Employee Trusts																
	Total	1010	5150600	D		5150600) 100.0000	5150600	5150600	100.0000)		0	.0000	0	0	4173134

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			Quarter H	Ended	31-Dec-	2019											
Category Code (I)	. Categoryand name of Shareholders (II)	PAN (III)	Number of shareholders (IV)	No. of fully paid up equity Shares (V)	No. of Partly paid-up equity shares held (VI)	No. of shares underlying Depository Receipts (VII)=IV+V+	Shares	Shareholding as % of total no. of shares (calculated as per SCRR, 1957)	aNumber of V f held in each securities No of voting Rights	class of (X)	Tot al as al as	Shareholding as a % assuming g full conversion of convertible	No of Locke in shares (XIII)	pled othe encu	mber of Sl lged or erwise umbered (XIV)	ares	No. of Shares held in Dematerali sed form
						VI	(VIII)	As a % of (A+B+C2) (IX)	Class eg: X	Cla To ss ta eg: 1	a % of(A+B+ C) (XI)	(as a percentage of diluted share capital)	No As (a) % c To tal s held	a No f (a)	0))	As a % of To tal shr held	(XV)
A 1	Shareholding of Promoter and Promoter Group Indian																
a	Individuals/ Hindu Undivided Family		0	0			0	.0000	0	(.0000		0.0	000	0	.0000	0
b	Central Government/ State Government(s)		0	0			0	.0000	0	(, .0000		0.0	000	0	.0000	0
c	Bodies Corporate		0	0			0	.0000	0	(.0000		0.0	000	0	.0000	0
d	Financial Institutions/ Banks		0	0			0	.0000	0	(.0000		00	000	0	.0000	0
e i	Any Other (Specify) Directors & their Relatives JYOTSNABEN PRAVINBHAI PATEL PRAVINBHAI MANEKLAL PATEL	ADRPP2766N ADRPP2767P		101400			101400 116000	1.9687 2.2522	101400 116000	101400	1.9687 2.2522		0.0	000 000 000	0	0	101400 116000
e i	Any Other (Specify) Director and Relatives		2	217400			217400	4.2209	217400	217400			0.0	000	0	.0000	217400
	Sub Total (A)(1) :		2	217400			217400	4.2209	217400	217400	4.2209		0.000	00	0	.0000	217400

			Quarter I	Ended	31-Dec-	2019												
Category Code (I)	Categoryand name of Shareholders (II)	PAN	Number of shareholders (IV)	No. of fully paid up equity Shares (V)	No. of Partly paid-up equity shares held (VI)	underlying	Total no. of Shares	Shareholding as % of total no. of shares (calculated as per SCRR, 1957)	aNumber of V f held in each securities No of voting Rights	class of (X)	Tot al as	convertible	full conversion	No of L in share (XI	s	Number of pledged or otherwise encumbered (XIV)	1	No. of Shares held in Dematerali sed form
						VI	(VIII)	As a % of (A+B+C2) (IX)	Class eg: X	Cla To ss ta eg: 1	a % of(A+B+ C)	(including Warrants) (XI)	(as a percentage of diluted share capital) (XII)	(a)	As a % of To tal shr held	No (a)	As a % of To tal shr held	(XV)
Α	Shareholding of Promoter and Promoter Group																	
2	Foreign																	
a	Individuals (Non Resident Indians / Foreign Individuals)		0	0			0	.0000	0		0000. 0			0	.0000	(.0000 .0000	0
b	Bodies Corporate		0	0			0	.0000	0		0000. 0			0	.0000	(.0000 .0000	0
с	Institutions		0	0			0	.0000	0		0000. 0			0	.0000	(.0000	0
d	Qualified Foreign Investor		0	0			0	.0000	0		0000. 0			0	.0000	(.0000	0
e	Any Other (Specify)		0	0			0	.0000	0		0000.			0	.0000	(.0000	0
	Sub Total (A)(2) :		0	0			0	.0000	0		0.0000			0	.0000	()	0
Total Sh	hareholding of Promoter and Promoter Group $(A) = (A)(1) + (A)(2)$	 	2	21740	0		217400	4.2209	217400	217400	4.2209			0	.00		.0000	217400
		1	+		1	1		1			-	1	-			1	+	L

			Quarter l		31-Dec-2													
Category Code (I)	Categoryand name of Shareholders (II)	PAN	Number of shareholders (IV)	No. of fully paid up equity Shares (V)		underlying	Total no. of Shares	(calculated as	f held in each securities	class of (ζ) Tot al as	securities	g full conversion	in sha		Number of pledged or otherwise encumbered (XIV)	1	No. of Shares held in Demateral sed form
						VI	(VIII)	As a % of (A+B+C2)	Class eg: X	Cla To ss ta eg: 1	a % of(A+B+ C)	(including Warrants)	(as a percentage of diluted share capital) (XII)	No (a)	As a % of To tal shr held	No (a)	As a % of To tal shr held	(XV)
В	Public Shareholding																	
1	Institutions																	
а	Mutual Funds/UTI		0	0			0	.0000	0		0.000)			.0000		.0000	C
b	Venture Capital Funds		0	0			0	.0000	0		0.0000)			.0000		.0000	(
с	Alternate Investment Funds		0	0			0	.0000	0		0.000)		C	0000.		.0000	0
d	Foreign Venture Capital Investors		0	0			0	.0000	0		0.000)			.0000		.0000	0
e	Foreign Portfolio Investors		0	0			0	.0000	0		0.0000)			.0000		.0000	0
f	Financial Institutions/ Banks		1	7400			7400	.1437	7400		7400 .1435	7		C	0000.		.0000	7400
g	Insurance Companies		0	0			0	.0000	0		0.000)			.0000		.0000	0

			Quarter I	Ended	31-Dec-	2019												
Category Code (I)	Categoryand name of Shareholders (II)		Number of shareholders	paid up equity Shares	paid-up equity shares held	underlying Depository Receipts	Shares	Shareholding as % of total no. o shares (calculated as per SCRR,	f held in each securities	class of (X)	1	Shares Underlying Outstanding convertible	full conversion	No of Lo in share: (XII	s	Number of pledged or otherwise encumbered (XIV)	1	No. of Shares held in Dematerali sed
		(III)	(IV)	(V)	(VI)	(VII)=IV+V+ VI	(VIII)	1957) As a % of (A+B+C2) (IX)	Class eg: X	Cla To ss ta eg: 1	a %	securities (including Warrants) (XI)	convertible securities (as a percentage of diluted share capital) (XII)	No (a)	As a % of To tal shr held	(AIV) No (a)	As a % of To tal shr held	form (XV)
В	Public Shareholding																	
1	Institutions																	
h	Provident Funds/ Pension Funds		0	0			0	.0000	0		0.0000			0	.0000		.0000	0
i	Any Other (specify)		0	0			0	.0000	0		0000 0			0	.0000		.0000	0
2	Sub Total (B)(1) : Central Govt/State Govt(s)/ POI		1	7400			7400	.1437	7400	7400	0.1437							7400
a	GOVT.		0	0			0	.0000	0		0000.00				.0000		.0000	0
	Sub Total (B)(2) :		0	0			0	.0000	0		0000.00							0
4																		

			Quarter I	Inded	31-Dec-	2019													
Category Code (I)	Categoryand name of Shareholders (II)	PAN (III)	Number of shareholders (IV)	No. of fully paid up equity Shares (V)	No. of Partly paid-up equity shares held (VI)	No. of shares underlying Depository Receipts (VII)=IV+V+	Total no. of Shares	Shareholding as % of total no. of shares (calculated as per SCRR, 1957)	of held in each securities	h class		Tot al as	Outstandin convertible securities	Shareholding as a % assuming full conversion of convertible	in shar	Locked res	Number of pledged of otherwise encumber (XIV	ed	No. of Shares held in Demateral sed form
						VI	(VIII)	As a % of (A+B+C2) (IX)	Class eg: X	Cla ss eg:	To ta l	a % of(A+B+ C)	(including Warrants) (XI)	securities (as a percentage of diluted share capital) (XII)	No (a)	As a % of To tal shr held	No (a)	As a % of To tal shr held	(XV)
В 3	Public Shareholding Non-Institutions																		
a	Individuals																		
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		905	1346229			1346229	26.1373	1346229		1346229	26.1373	3		0	.0000		.0000	1346129
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		36	2986527			2986527	57.9840	2986527		2986527	57.984			0	.0000		.0000	2009161
																.0000			
	UMAKANT SULTANIA	AASPS1558F		57055			57055	1.1077	0,000		57055				C	.0000			57055
	JYOTI JAIN	AGYPJ0552G		52969			52969	1.0284	52969		52969	1.0284			C	.0000			52969
	ASHVINKUMAR AMRUTBHAI PATEL	AMAPP8686K		132204			132204	2.5668	10220.		132204	2.5668			C	.0000			132204
	PUNITABEN BHARATBHAI PATEL	ARWPP5118M ARAPP7964P		136980			136980	2.6595	136980		136980				O	.0000			136980
	SANJAYKUMAR SOMABHAI PATEL	ACYPP2738J		150000			150000	2.9123			150000				0	.0000			150000
	CHIRAGBHAI PATEL	AOOPM9792B		119500			119500	2.3201 2.7485				2.3201							
	SANJAYBHAI MODI	AOKPP9304G		141566			141566	2.7485			141566								14761
	JITENDRAKUMAR VITHTHALBHAI PATEL CHIRAGKUMAR SOMABHAI PATEL			147614			147614 131730	2.5576			147614				0	.0000			147614 131730
	RASILABEN PATEL	AOEPP0357H		131730 118000			131730	2.2910			131730				0	.0000			151/50
	BHARATBHAI PATEL	AMLPP8615K		120000			120000	2.3298			118000								
	ALPABEN PATEL	AMBPP8340H		119000			120000	2.3298			120000								
	ALFADEN FATEL JASHODABEN JAYANTILAL PATEL	AZOPP9916H		137730			137730	2.6741				2.3104				0000			137730
	JASHODABEN JATANTILAL FATEL MAHENDRABHAI PATEL	AZNPP3228M		120000			120000	2.3298			137730				0	.0000			120000
	MAILAPKAPIATAIL			120000			120000		120000		120000	2.3298			U	.0000			12000

1	· `					<u> </u>					<u> </u>	<u> </u>	·		1		
, Categoryand name of Shareholders (II)	PAN (III)	Number of shareholders (IV)	No. of fully paid up equity Shares (V)	paid-up	underlying	Shares	% of total no. of shares (calculated as per SCRR, 1957)	of held in each securities	h class of (X)	Tot al as	Shares Underlying Outstanding convertible securities	^{1g} full conversion e of convertible	in share	ires	pledged or otherwise encumbered	r ed	No. of Shares held in Demater sed form
					VI	(VIII)	As a % of (A+B+C2)	eg:	Cla To ss ta eg: l	a % of(A+B+ C)	(including Warrants)	securities	(a)	As a % of To tal shr held	No (a)	As a % of To tal shr held	(XV)
												(XII)					
Public Shareholding																	
Individuals	1				1					[1	1			1
GITABEN PATEL	ATHPP1967G		119300	3	'	119300	2.3162	119300	119300	0 2.3162	2		1	1		'	
SHAILESHKUMAR HANSRAJBHAI PATEL	ASJPP1494N		215280	3	'	215280	4.1797	215280	215280				I G	0000.	ა		21
PRAVEEN KUMAR RATHI	AHFPR4225A		52000	3	1	52000	1.0096	52000	52000				ı d) .0000	ა		
ASHOKBHAI PATEL	AEYPP6870M		119700	3	'	119700	2.3240	119700	119700				1	1			1
KALPESH J. THAKKAR	ACNPT1875B		60088	8	1	60088	1.1666	60088	60088				ı d	0000.	3		
PRAKASHKUMAR PATEL	ADIPP7573L		120300	3	1	120300	2.3357	120300	120300				1	1		'	1
PRAVINBHAI MANEKLAL PATEL	ADRPP2767P		113400	3	'	113400	2.2017	113400	113400				I G) .0000	ა		1
NBFCs registered with RBI		0	0			0	.0000	0	c	0.0000				.0000	1	.0000	
Employee Trust		0	0			0	0.0000	0	c	0.0000			0	0000.	1	.0000	
Overseas Depositories (holding DRs) (balancing figure)		0	0			0	.0000	0	C	0 .0000			0	0000.	1	.0000	
Any Other (specify)	1	0	0		++	0	.0000	0		0.0000			0	0000.)	.0000	
-	(I) Public Shareholding Non-Institutions Individuals GTABEN PATEL RAVEEN KUMAR PATEL RAVEEN KUMAR RATEL RAVEEN KUMAR RATEL RAVEEN KUMAR PATEL RAVESH J. THAKKAR PRAKASHKUMAR PATEL RAVINBHAI MANEKLAL PATEL RAVINBHAI MANEKLAL PATEL RAVINBHAI MANEKLAL PATEL RAVINBHAI MANEKLAL PATEL CMBFCs registered with RBI	(I) (II) (II) (II) (II) (II) (II) (II)	(II) (III) (IV) Public Shareholding (III) (IV) Non-Institutions Individuals GITABEN PATELI ATHPP1967G Individuals GITABEN PATELI ASJPP1494N ASJPP1494N PRAVEEN KUMAR HANSRAJBHAI PATELI ASJPP1494N ASJPP1494N PRAVEEN KUMAR RATHI AHFPR4225A ASJPP1494N ASHOKBHAI PATELI ASJPP16701 ASJPP16701 PRAVEN KUMAR RATHI AHFPR4225A ASIPP16701 MBFCs registered with RBI O O PRAVINBHAI MANEKLAL PATELI ADRPP2767P O PRAVINBHAI MANEKLAL PATELI ADRP2767P O Overseas Depositories (holding DRs) (balancing figure) 0 0 Any Other (specify) I 0	PANshareholders pequity Shares(II)(II)(V)(U)(V)(U)(V)(V)(V)Public Shareholding Non-Institutions Individuals(II)(II)(IV)(V	PANshareholdersprid prid pup equity sharesprid pup equity shares(II)(III)(IV)(V)(V)(III)(IV)(V)(V)Public ShareholdingImage: shareholdingImage: shareholdingImage: shareholdingNon-InstitutionsImage: shareholdingImage: shareholdingImage: shareholdingIndividualsGITABEN PATELATHIPP1967GImage: shareholdingSHAILESHKUMAR HANSRAIBHAI PATELASIPP1494NImage: shareholdingPRAVEEN KUMAR RATHIAHIPR4225A52000IndividualsGITABEN JATELASIPP1494NPRAVEEN KUMAR RATHIAHIPR4225A52000PRAVASHKUMAR PATELASIPP1875B60088PRAKASHKUMAR PATELADIPP573C110300PRAVINBHAI MANEKLAL PATELADIPP573C113400PRAVINBHAI MANEKLAL PATELADIPP573C113400PRAVINBHAI MANEKLAL PATELADIPP573C113400PRAVINBHAI MANEKLAL PATELADIPP573C113400PRAVINBHAI MANEKLAL PATELADIPP573C113400PRAVINBHAI MANEKLAL PATELADIPP573C100PRAVINBHAI PATELADIPP573C100PRAVINBHAI PATELADIPP573C	PAN sharebolder paid-ep up qaity Shares paid-ep up det/sign bed paid-	PANshareholders pequity <b< td=""><td>PAN atacheder pad puity shares maderby puity shares maderby puity shares maderby puity shares maderby puity shares maderby puity shares maderby puity shares shares shores shares shores shares (II) (II) (IV) (V) (V)</td><td>PANpade pade pade pade pade pade pade pade</td><td></td><td></td><td></td><td>$\mathbf{Poly} P$</td><td>PAN Barebox particle scale particle scale</td><td>Image: Constraint of the image: Constra</td><td>Parkarsense production of the set o</td><td>Image: bit is an analysis of the state of the</td></b<>	PAN atacheder pad puity shares maderby puity shares maderby puity shares maderby puity shares maderby puity shares maderby puity shares maderby puity shares shares shores shares shores shares (II) (II) (IV) (V) (V)	PANpade pade pade pade pade pade pade pade				$ \mathbf{Poly} P$	PAN Barebox particle scale particle scale	Image: Constraint of the image: Constra	Parkarsense production of the set o	Image: bit is an analysis of the state of the

			Quarter E	Inded	31-Dec-	2019													
Category Code (I)	Categoryand name of Shareholders (II)	PAN (III)	Number of shareholders (IV)	No. of fully paid up equity Shares (V)	No. of Partly paid-up equity shares held (VI)	No. of shares underlying Depository Receipts (VII)=IV+V+	Total no. of Shares	Shareholding as % of total no. c shares (calculated as per SCRR, 1957)	of held in each securities	h class	g Rights of (X)	Tot al as	Outstandin convertible securities	convertible	in sha	05	Number o pledged or otherwise encumbere (XIV)	ed	No. of Shares held in Dematerali sed form
						VI	(VIII)	As a % of (A+B+C2) (IX)	Class eg: X	Cla ss eg:	ta	a % of(A+B+ C)	(including Warrants) (XI)	(XII)	No (a)	As a % of To tal shr held	No (a)	As a % of To tal shr held	(XV)
в	Public Shareholding																		
3	Non-Institutions																		
i i	Any Other (specify) Other Bodies Corporate		34	402576			402576	7.8161	402576		402576	7.8161			0	.0000		.0000	402576
ii)a	NRI WITH REPAT		3	7860			7860	.1526	7860		7860	.1526			0	.0000		.0000	7860
ii)b	NRI WITHOUT REPAT		1	55			55	.0011	55		55	.0011			0	.0000		.0000	55
iii	Trust		0	0			0	.0000	0		C	.0000				.0000		.0000	0
iv	Cooperative Societies		0	0			0	.0000	0		C	.0000				.0000		.0000	0
v	Hindu Undivided Family		28	182553			182553	3.5443	182553		182553	3.5443			0	.0000		.0000	182553
v	Hindu Undivided Family															.0000			
vi	VINOD FOJMALJI KOTHARI H.U.F . OCB	AAFHK6200B	0	83761 0			83761 0	1.6262 .0000	83761 0		83761 0	1.6262 .0000			0	.0000. .0000.		.0000	83761 0
vii	Foreign Companies		0	0			0	.0000	0		C	.0000				.0000		.0000	0

Table(II) & (III) Summary Statement holding of specified securities

Sub Total (B)(3) :

Total Public Shareholding (B) = (B)(1) + (B)(2)+(B)(3):

Name Of	The Company : SWORD AND SHIELD PHARMA LIMI	TED																
			Quarter I	Ended	31-Dec-	2019												
Category Code	Categoryand name of Shareholders	PAN	Number of shareholders	paid	No. of Partly paid-up equity shares held	underlying	Total no. of Shares	Shareholding as % of total no. or shares (calculated as	f held in each securities No of voting	class of (X)	Tot	Shares Underlying Outstanding	full conversion	in shar	Locked	Number of pledged or otherwise encumbered		No. of Shares held in Dematerali
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)=IV+V+ VI	(VIII)	per SCRR, 1957) As a % of (A+B+C2) (IX)	Rights Class eg: X	Cla To ss ta eg: 1	I ot al as a % of(A+B+ C)	convertible securities (including Warrants)	of convertible securities (as a percentage of diluted share capital) (XII)		III) As a % of To tal shr held	(XIV) No (a)	As a % of To tal shr held	sed form (XV)
В	Public Shareholding																	
3	Non-Institutions																	

Total (A) + (B):

5150600

4933200

4925800

1007

1008

1010

5150600 100.

4925800

4933200

95.6354

4925800

95.7791 4933200

100.0000 5150600 51506

5150600 100.0001

4925800 95.6355

4933200 95.7792

0 .0000 4173134

.00

0 .0000

3948334

3955734

 Table (IV) Summary Statement holding of specified securities

Name

Quarter Ended	31-Dec-2019

			Quarter Ende	d	31-Dec-2019)										
ategory Code	Category of Shareholders (II)	PAN (III)	Number of shareholders (IV)	No. of fully paid up equity Shares (V)	paid-up equity shares held	No. of shares underlying Depository Receipts (VII)=IV+V+ VI	Shares	Shareholding as % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2) (IX)	held in securitie No of voting Rights Class	hts X) al as a % of(A+B+ C)	No. of Shares Underlying Outstanding convertible securities (including Warrants) (XI)	Shareholding, as a% assuming full conversion of convertible securities (as a percentage of diluted share capital) (XII)	No of Locked in shares (XIII) No % of (a) To tal shr held	pledged otherwis encumbe	se	No. Sha helo Dema se for (XV
on-Promoter Non-Pu	blic														neid	
	n/DR Holder		0		o	0	0									
on-Promoter Non-Pu	blic															
2 Employe	e Benefit Trust		0		o	0	0									

GRAND TOTAL (A) + (B) + (C)

1010 5150600 5150600 100.0000

Prepared By MCS Share Transfer Agent Ltd.

0 .0000 4173134 0 .0000