



Date: October 12, 2016

To,
The Manager
Listing Department
BSE Ltd,
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai - 400 001

Dear Sir/Ma'am,

Sub: Statement of Investor Complaints for the quarter ending September 30, 2016.
Ref: Security Code: 531637 Security Id: SWORDNSH

In terms of Regulations 13(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby certify, based on certificate received from Registrar and Share Transfer Agent and physical verification of SCORE website, below the Quarterly Report on Investor Complaints for the Quarter ended September 30, 2016:

Description	No of complaints pending at the beginning of the quarter (as at 01.07.2016)	No of complaints received during the quarter	No of complaints attended / resolved during the quarter	No of complaints pending at the end of the quarter (as at 30.09.2016)
Shareholders	Nil	Nil	Nil	Nil
Consumer Forum	Nil	Nil	Nil	Nil
Stock Exchange	Nil	Nil	Nil	Nil
Ministry of Company Affairs	Nil	Nil	Nil	Nil
SEBI	Nil	Nil	Nil	Nil
SCORE	1 (One)	Nil	Nil	1 (One)*
Others, if any	Nil	Nil	Nil	Nil

*The Company has replied to the Complaint raised by the Shareholder during the quarter, but no reply/clarification has been received from the Shareholder.

You are requested to kindly take the same on record.

Thanking you,

Yours faithfully,
For, Sword and Shield Pharma Limited


Prachi Shah
Company Secretary & Compliance Officer

